Zeta Functions of a Class of Artin-Schreier Curves With Many Automorphisms

Renate Scheidler



Joint work with Irene Bouw, Wei Ho, Beth Malmskog, Padmavathi Srinivasan and Christelle Vincent

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Our Main Protagonist

Let p be a prime and $\overline{\mathbb{F}}_p$ the algebraic closure of the finite field \mathbb{F}_p .

An Artin-Schreier curve is a projective curve with an affine equation

 $y^{p} - y = F(x)$ with $F(x) \in \overline{\mathbb{F}}_{p}(x)$ non-constant .

Standard examples: elliptic and hyperelliptic curves for p = 2. We focus on the special case of p odd and the curve

 $C_R: y^p - y = xR(x)$

where R(x) is an **additive** polynomial, i.e. R(x + z) = R(x) + R(z). These were investigated by van der Geer & van der Vlugt for p = 2. (Compositio Math. 84, 1992)

Why are these curves of interest?

- Connection to weight enumerators of subcodes of Reed-Muller codes
- Connection to certain lattice constructions
- Potentially good source for algebraic geometry codes
- Lots of interesting properties (especially the automorphisms of C_R)

C_R and Reed-Muller Codes

For $n \in \mathbb{N}$, consider the field \mathbb{F}_{p^n} as an *n*-dimensional vector space over \mathbb{F}_p .

 $\begin{array}{rcl} \text{Let} & \beta : \{ \text{Polynomials of degree} \leq 2 \text{ in } n \text{ variables} \} & \longrightarrow & \mathbb{F}_{p^n} \cong \mathbb{F}_p^n \\ & f & \mapsto & (f(x))_{x \in \mathbb{F}_{p^n}} \end{array}$

 $\mathcal{R}(p, n) = \operatorname{im}(\beta)$ is the **(order 2) Reed-Muller code** over \mathbb{F}_p of length p^n . Restricting to polynomials f of the form $f(x) = \operatorname{Tr}_{\mathbb{F}_p^n/\mathbb{F}_p}(xR(x))$ where R(x) runs through all additive polynomials over \mathbb{F}_{p^n} of some fixed degree p^h yields a subcode \mathcal{C}_h of $\mathcal{R}(p, n)$ with good properties.

The weight of a code word
$$w_R = (\operatorname{Tr}_{\mathbb{F}_p^n/\mathbb{F}_p}(xR(x)))_{x\in\mathbb{F}_{p^n}}$$
 is
 $\operatorname{wt}(w_R) = \#\{x\in\mathbb{F}_{p^n} \mid \operatorname{Tr}_{\mathbb{F}_{p^n}/\mathbb{F}_p}(xR(x)) \neq 0\}$
 $= p^n - \#\{x\in\mathbb{F}_{p^n} \mid \operatorname{Tr}_{\mathbb{F}_{p^n}/\mathbb{F}_p}(xR(x)) = 0\}$
 $= p^n - \frac{1}{p} \cdot (\text{number of } \mathbb{F}_{p^n} \text{-rational points on } C_R)$

because $\operatorname{Tr}_{\mathbb{F}_{p^n}/\mathbb{F}_p}(xR(x)) = 0$ if and only if $xR(x) = y^p - y$ for some $y \in \mathbb{F}_{p^n}$, and then exactly all y + i with $i \in \mathbb{F}_p$ satisfy this identity. So the \mathbb{F}_{p^n} -point count for all curves C_R yields the weight enumerator of C_h .

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Let C : F(x, y) = 0 be an affine curve over some finite field \mathbb{F}_{p^n} with a unique point at infinity P_{∞} .

Let S be a set of \mathbb{F}_{p^n} -rational points on C, $r \in \mathbb{N}$, and $L(rP_{\infty})$ the **Riemann-Roch space** of rP_{∞} , i.e. the set of functions on C with poles only at P_{∞} and each pole of order $\leq r$.

For each $f \in L(rP_{\infty})$, the tuple $(f(P))_{P \in S}$ forms a code word, and the collection of all these code words forms an **algebraic geometry code** C.

The length of C is #S. So curves with lots of \mathbb{F}_{p^n} -rational points yield good codes.

Our curves C_R are **maximal** (or **minimal**) for appropriate choices of n, i.e. the \mathbb{F}_{p^n} -point count for C_R attains the theoretical maximum (or minimum).

A Symmetric Bilinear Form Associated to C_R

Let
$$C_R : y^p - y = xR(x)$$
 with $R(x) \in \overline{\mathbb{F}}_p[x]$ additive.
 $R(x)$ is of the form $R(x) = \sum_{i=0}^{h} a_i x^{p^i}$ for some $h \ge 0$, so deg $(R) = p^h$.

Associated to the quadratic form $\operatorname{Tr}_{\mathbb{F}_{p^n}/\mathbb{F}_p}(xR(x))$ on \mathbb{F}_{p^n} is the symmetric bilinear form $\frac{1}{2}(\operatorname{Tr}_{\mathbb{F}_{p^n}/\mathbb{F}_p}(xR(z) + zR(x)))$ with kernel

 $W_n = \{x \in \mathbb{F}_{p^n} \mid \mathsf{Tr}_{\mathbb{F}_{p^n}/\mathbb{F}_p}(xR(z) + zR(x)) = 0 \text{ for all } z \in \mathbb{F}_{p^n}\} \ .$

Proposition

 W_n is the set of zeros in F_{p^n} of the additive polynomial $E(x) = R(x)^{p^h} + \sum_{i=0}^{h} (a_i x)^{p^{h-i}}$ of degree p^{2h} .

Define \mathbb{F}_q to be the splitting field of E(x). Set $W = W_n \cap \mathbb{F}_q$, so dim $_{\mathbb{F}_p}(W) = 2h$.

Point Count

Recall
$$C_R : y^p - y = xR(x)$$
 with $R(x) \in \mathbb{F}_q[x]$ additive.

Theorem

The number of \mathbb{F}_{p^n} -rational points on C_R is $p^n + 1$ for $n - w_n$ odd and $p^n + 1 \pm (p-1)p^{(w_n+n)/2}$ for $n - w_n$ even, where $w_n = \dim_{\mathbb{F}_p}(W_n)$.

Proof ingredients: Counting and classical results on the size of the zero locus of a non-degenerate diagonalizable quadratic form over a finite field, applied to the quadric $\operatorname{Tr}_{\mathbb{F}_{p^n}/\mathbb{F}_p}(xR(x))$ on the quotient space \mathbb{F}_{p^n}/W_n of \mathbb{F}_p -dimension $n - w_n$.

Theorem (Hasse-Weil)

Let N be the number of \mathbb{F}_{p^n} -rational points of a curve C of genus g = g(C). Then $(p^n + 1) - 2gp^{n/2} \le N \le (p^n + 1) + 2gp^{n/2}$.

Note that $g(C_R) = \frac{p^h(p-1)}{2}$, so for $\mathbb{F}_q \subseteq \mathbb{F}_{p^n}$ and *n* even, C_R is always either maximal or minimal.

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Some Points and Automorphisms on AS-Curves

Let $C: y^p - y = F(x) \in \overline{\mathbb{F}}_p(x)$ be an Artin-Schreier curve.

Examples of points on C:

• P_{∞}

- (a, i) for all $i \in \mathbb{F}_p$, where F(a) = 0
- In fact, if (x, y) is a point on C_R , then so is (x, y + i) for all $i \in \mathbb{F}_p$.

Examples of automorphisms on C:

• The identity

• The Artin-Schreier operator ρ of order p via $\rho(x, y) = (x, y + 1)$ Note that both these automorphisms fix P_{∞} .

The points described above are orbits of the Artin-Schreier operator.

Notation

Aut(*C*) denotes the group of automorphisms on *C* defined over $\overline{\mathbb{F}}_p$. Aut^{∞}(*C*) denotes the group of automorphisms on *C* that fix P_{∞} , i.e. the stabilizer of P_{∞} under Aut(*C*).

The Group $Aut(C_R)$

Proposition

• If
$$R(x) = x$$
, then $\operatorname{Aut}(C_R) \cong SL_2(\mathbb{F}_p)$.

• If $R(x) = x^p$, then $Aut(C_R) \cong PGU_3(\mathbb{F}_p)$ (Hermitian case).

• If $R(x) \notin \{x, x^p\}$ and R(x) is monic, then $\operatorname{Aut}(C_R) \cong \operatorname{Aut}^{\infty}(C_R)$.

The map $(x, y) \mapsto (ux, y)$ with $u^{p^h} = a_h^{-1}$ is an isomorphism from C_R to $C_{\tilde{R}}$ where $\tilde{R}(x) = R(ux)$ is monic.

Since we consider automorphisms of C_R over $\overline{\mathbb{F}}_p$, there is thus no restriction to assume that R(x) is monic; structurally, $\operatorname{Aut}(C_R)$ and $\operatorname{Aut}(C_{\tilde{R}})$ are the same.

Moreover, for $R(x) \notin \{x, x^p\}$, if suffices to investigate Aut^{∞}(C_R). We now do this for any additive polynomial R(x), including x, x^p , and non-monic ones.

Explicit Description of $Aut^{\infty}(C_R)$

Theorem

The automorphisms on C_R that fix P_∞ are precisely of the form

$$\sigma_{\mathsf{a},\mathsf{b},\mathsf{c},\mathsf{d}}(x,y) = (\mathsf{a} x + \mathsf{c}; \mathsf{d} y + B_{\mathsf{c}}(\mathsf{a} x) + \mathsf{b})$$

where

B_c(x) ∈ xF_q[x] is the unique polynomial such that B_c(x)^p - B_c(x) = cR(x) - R(c)x
d ∈ F_p^{*} ⊆ F_q
c ∈ W ⊂ F_q
b = B_c(c)/2 + i with i ∈ F_p, so b ∈ F_q
a^{pⁱ+1} = d whenever a_i ≠ 0, for 0 ≤ i ≤ h.

Remarks:

B_c(x) is additive and depends only on c
B_c(x) = 0 if and only if c = 0; deg(B) = p^{h-1} otherwise
σ_{1,1,0,1} = ρ is the Artin-Schreier operator (x, y) → (x, y + 1)

Extraspecial Groups

Definition

A non-commutative *p*-group *G* is **extraspecial** if its center Z(G) has order *p* and the quotient group G/Z(G) is elementary abelian.

Theorem

For p odd, the only extraspecial group of order p^3 and exponent p is the group

$$E(p^3) = \langle A, B \mid A^p = B^p = [A, B]^p = 1, \ [A, B] \in Z(E(p^3)) \rangle$$

It is realizable as the **discrete Heisenberg group** over \mathbb{F}_p , i.e. the group of upper triangular 3×3 matrices with entries in \mathbb{F}_p and ones on the diagonal.

Every extraspecial group of exponent p and odd order p^{2n+1} is the central product of n copies of $E(p^3)$.

The Structure of $Aut^{\infty}(C_R)$

Let $H \subset \operatorname{Aut}^{\infty}(C_R)$ consist of all automorphisms $\sigma_{a,0,0,d}$, $P \subset \operatorname{Aut}^{\infty}(C_R)$ consist of all automorphisms $\sigma_{1,b,c,1}$.

Note that all the automorphisms in P are defined over \mathbb{F}_q .

Theorem

• *H* is a cyclic subgroup of
$$\operatorname{Aut}^{\infty}(C_R)$$
 of order $e \frac{p-1}{2} \cdot \gcd(p^i+1)$,
 $i \ge 0$
 $a_i \ne 0$

where e = 2 if all of the indices i with $a_i \neq 0$ have the same parity, and e = 1 otherwise.

- *P* is the unique Sylow *p*-subgroup of $\operatorname{Aut}^{\infty}(C_R)$. It has order p^{2h+1} . and center $Z(P) = \langle \rho \rangle$.
- P is normal in $\operatorname{Aut}^{\infty}(C_R)$, and $\operatorname{Aut}^{\infty}(C_R) = P \rtimes H$.
- If h = 0, then P = Z(P). If h > 0, then P is an extraspecial group of exponent p and thus a central product of h copies of $E(p^3)$.

Note: for p = 2, P has exponent 4 which yields a factorization of E(x).

Proposition

Suppose $h \ge 1$ and let M be any maximal abelian subgroup of P. Then the following hold:

- $M \cong (\mathbb{Z}/p\mathbb{Z})^{h+1}$ and M is normal in P.
- Any subgroup A_p ≅ (ℤ/pℤ)^h of M with ρ ∉ A_p yields a decomposition M = ⟨ρ⟩ ∪ A₁ ∪ · · · ∪ A_{p-1} ∪ A_p where A₁, . . . A_{p-1} are subgroups of M with A_i ≅ (ℤ/pℤ)^h, ρ ∉ A_i, and A_i ∩ A_j = {1} for i ≠ j (1 ≤ i, j ≤ p − 1).
- Any two such subgroups A_p, A'_p of M are P-conjugate.

Key to these results is the fact that the map $P \to W$ via $\sigma_{1,b,c,1} \to c$ is a surjective group homomorphism whose kernel is $Z(P) = \langle \rho \rangle$.

Any maximal abelian subgroup M of P maps to a maximal isotropic subspace W_M of W, and this correspondence can be made explicit via appropriate basis choices.

Quotient Curves of C_R

Definition

Let *C* be a curve and *G* a subgroup of Aut(*C*). On the points on *C*, define the equivalence relation $P \sim Q$ if and only if *P* and *Q* belong to the same *G*-orbit. Then the image of the natural map $C \rightarrow C/\sim$ is the **quotient curve** of *C* by *G*, denoted C/G.

Proposition

- Let G be any subgroup of $Aut^{\infty}(C_R)$ that contains the Artin-Schreier operator ρ . Then C_R/G has genus zero.
- Let $M \cong (Z/p\mathbb{Z})^{h+1}$ be a maximal abelian subgroup of P and $A \cong (\mathbb{Z}/p\mathbb{Z})^h$ a subgroup of M not containing ρ . Then C/A is an Artin-Schreier curve with an affine model of the form $y^p y = f(x)$ with $f(x) \in \mathbb{F}_q[x]$ of degree 2.
- Different choices of A yield \mathbb{F}_q -isomorphic curves C/A, so up to isomorphism, $f(x) = f_M(x)$ only depends on M.

Explicit Affine Models of the Curves C/A

Proposition

Suppose $h \ge 1$. Then for any automorphism $\sigma = \sigma_{1,b,c,1} \in P$ with $c \ne 0$, the quotient curve $C/\langle \sigma \rangle$ is \mathbb{F}_q -isomorphic to an Artin-Schreier curve with affine model $y^p - y = x \tilde{R}(x)$ where $\tilde{R}(x) \in \mathbb{F}_q[x]$ is an additive polynomial of degree p^{h-1} .

Proof ingredients: a suitable change of coordinates and messy calculations.

Theorem

Suppose $h \ge 1$ and let $M \cong (Z/p\mathbb{Z})^{h+1}$ be a maximal abelian subgroup of P. For any subgroup $A \cong (\mathbb{Z}/p\mathbb{Z})^h$ of M not containing ρ , the quotient curve C/A is \mathbb{F}_q -isomorphic to an an Artin-Schreier curve with affine model $y^p - y = m_M x^2$ where $m_M = \frac{a_h}{2} \prod_{c \in W_M \setminus \{0\}} c \in \mathbb{F}_q^*$.

Proof ingredients: decomposition of M from before, the previous proposition, and induction on h.

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The Jacobian of C_R

Definition

For a curve *C*, the free group on the points on C_R is the group of **divisors** on *C*, denoted $\text{Div}(C_R)$. It contains the subgroup $\text{Div}^0(C)$ of degree zero divisors $D = \sum n_P P$ with $\sum n_P = 0$. Two divisors are **equivalent** if they differ by a **principal** divisor, i.e. a

divisor of the form div(α) = $\sum n_P P$ where α is a function on C and n_P is the order of vanishing of α at P.

The set of linear equivalence classes of degree zero divisors forms a finite abelian algebraic group which is the **Jacobian** of C, denoted Jac(C).

Theorem

- $Jac(C_R)$ is \mathbb{F}_q -isogenous to a product of p^h copies of Jacobians Jac(C/A) with A as in the previous proposition.
- Jac(C_R) is $\overline{\mathbb{F}}_p$ -isogenous to a product of supersingular elliptic curves (because all the slopes of the Newton polygon of the L-polynomial of C_R are equal to 1/2 stay tuned for L-polynomials).

The L-Polynomial of a Curve

Definition

Let *C* be a curve *C* over a field \mathbb{F}_q . For $n \in \mathbb{N}$, the *L*-polynomial of *C* over \mathbb{F}_{q^n} is the polynomial $L_{C,q^n}(t) = (1-t)(1-q^n t)Z_C(t)$ where $Z_C(t) = \exp(\sum_{k\geq 1} N_k t^k/k)$ is the **zeta function** of *C* and N_k is the number of \mathbb{F}_{q^k} -rational points on *C*.

Properties:

•
$$L_{C,q}(t) = \prod_{i=1}^{2g} (1 - \alpha_i t) \in \mathbb{Z}[t]$$
 where $\alpha_i \alpha_{2g-i} = q$ and $|\alpha_i| = \sqrt{q}$ $\forall i$.
• $L_{C,q^n}(t) = \prod_{i=1}^{2g} (1 - \alpha_i^n t)$ and $N_n = 1 + q^n - \sum_{i=1}^{2g} \alpha_i^n$.
So C is $\left\{ \begin{array}{c} \max \\ \min \\ \min \end{array} \right\}$ over \mathbb{F}_{q^n} if and only if $\alpha_i^n = \left\{ \begin{array}{c} -q^{n/2} \\ +q^{n/2} \end{array} \right\} \forall i$.

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Proposition

Let \mathbb{F}_{p^n} be an extension field of \mathbb{F}_q . If *n* is even, then $L_{C_R,p^n} = (1 \pm p^{n/2}t)^{2g}$. If *n* is odd, then $L_{C_R,p^n} = (1 \pm p^n t^2)^g$.

Proof. Write $L_{C_{P},p^n} = \prod (1 - \beta_i t)^{2g}$. Case *n* even: Then $N_n = p^n + 1 \pm 2gp^{n/2}$, so $\beta_i = \pm p^{n/2}$ for $1 \le i \le 2g$. Case n odd: Then $N_{2n} = p^{2n} + 1 \pm 2gp^n$, so $\beta_i^2 = \pm p^n$ for $1 \le i \le 2g$. Subcase 1: $\beta_i^2 = -p^n \quad \forall i$. Then $\beta_{2\sigma-i} = p^n / \beta_i = -\beta_i$. This yields g factors $(1 - \beta_i t)(1 - \beta_{2g-i} t) = (1 - \beta_i t)(1 + \beta_i t) = (1 - \beta_i^2 t^2) = 1 + p^n t^2$. Subcase 2: $\beta_i^2 = p^n \quad \forall i$. Then $\beta_{2g-i} = p^n / \beta_i = \beta_i$. Since $N_n = p^n + 1$, it is easy to deduce that $\beta_i = p^{n/2}$ for half (i.e. g/2) of the indices $i \in \{1, \dots, g\}$, and $\beta_i = -p^{n/2}$ for the other half. This yields g factors $(1 - p^{n/2}t)(1 + p^{n/2}t) = 1 - p^n t^2.$

Resolving + and - in $L_{C_{R},p^{n}}(t)$

The decomposition result for maximal abelian subgroups of P yields

$$L_{C_R,q}(t) = L_{C/A,q}(t)^{p^h}$$

where A is as in the previous theorem.

So for $\mathbb{F}_q \subseteq \mathbb{F}_{p^n}$, it suffices to determine $L_{C_R,p^n}(t)$ for h = 0, i.e. R(x) = mx with $m \in \mathbb{F}_q$:

- For *m* a square in 𝔽^{*}_{pⁿ}, *C_{mx}* is 𝔽_q-isomorphic to the curve *C_x* defined over 𝔽_p, and the problem reduces to simple point-counting on *C_x* over 𝔽_p and 𝔽_{p²}.
- For *m* a nonsquare in $\mathbb{F}_{p^n}^*$ and *n* odd, the \mathbb{F}_q -automorphism $(x, y) \mapsto (m^{(p^n p)/2(p-1)}x, y)$ sends C_{mx} to a curve C_{ux} with $u \in \mathbb{F}_p^*$. Then the \mathbb{F}_p -automorphism $(x, y) \mapsto (u^i x, y)$ with $2i \equiv -1 \pmod{p}$ sends C_{ux} to C_x , reducing this case to the previous case.
- For *m* a nonsquare in F^{*}_{pⁿ} and *n* even, one can count points on C_{mx} and C_x over F_{pⁿ} using techniques from the previous two cases.

Note: in the literature, one can find result on zeta functions of curves similar to C_R that resort to Gauss sums.

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A Class of Artin-Schreier curves

L-polynomial of C_R

Theorem

Suppose that $\mathbb{F}_q \subseteq \mathbb{F}_{p^n}$. Then $L_{C_{P,D^n}}(t) = (1 - p^n t^2)^g$ if $p \equiv 1 \pmod{4}$ and n is odd. $L_{C_p,p^n}(t) = (1 + p^n t^2)^g$ if $p \equiv 3 \pmod{4}$ and n is odd. $L_{C_{p,p^n}}(t) = (1 - p^{n/2}t)^{2g}$, with C_R a minimal curve over \mathbb{F}_{p^n} , if $p \equiv 1 \pmod{4}$, n is even and m is a square in $\mathbb{F}_{p^n}^*$ or $p \equiv 3 \pmod{4}$, $n \equiv 0 \pmod{4}$ and m is a square in $\mathbb{F}_{p^n}^*$ or $p \equiv 3 \pmod{4}$, $n \equiv 2 \pmod{4}$ and m is a nonsquare in $\mathbb{F}_{p^n}^*$ $L_{C_R,p^n}(t) = (1 + p^{n/2}t)^{2g}$, with C_R a maximal curve over \mathbb{F}_{p^n} , if $p \equiv 1 \pmod{4}$, n is even and m is a nonsquare in $\mathbb{F}_{n^n}^*$ or $p \equiv 3 \pmod{4}$, $n \equiv 0 \pmod{4}$ and m is a nonsquare in $\mathbb{F}_{p^n}^*$ or $p \equiv 3 \pmod{4}$, $n \equiv 2 \pmod{4}$ and m is a square in $\mathbb{F}_{p^n}^*$

Here, *m* is the leading coefficient of R(x) if h = 0, and *m* is any element as given in our earlier construction when h > 0.

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Some Examples

Examples for h = 0, i.e. R(x) = mx

The following two maximal curves are additions to the database www.manYPoints.org:

- The curve $y^{11} y = mx^2$, with m a nonsquare in \mathbb{F}_{11^4} , is maximal over \mathbb{F}_{11^4} .
- The curve $y^{19} y = mx^2$, with m a nonsquare in \mathbb{F}_{19^4} , is maximal over \mathbb{F}_{19^4} .

The main difficulty of finding examples of minimal or maximal curves for h > 0 is to construct suitable elements m.

Families of examples for h > 0 and $R(x) = mx^{p^h}$

The curve y^p - y = x^{p^h+1} is minimal over F_q = F_{p^{4h}}.
The curve y^p - y = mx^{p^h+1}, with m^{p^h-1} = -1, is maximal over F_q = F_{p^{2h}}.

