

Exact Semidefinite Programming Bounds for Packing Problems

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joint work with



David de Laat
(TU Delft)



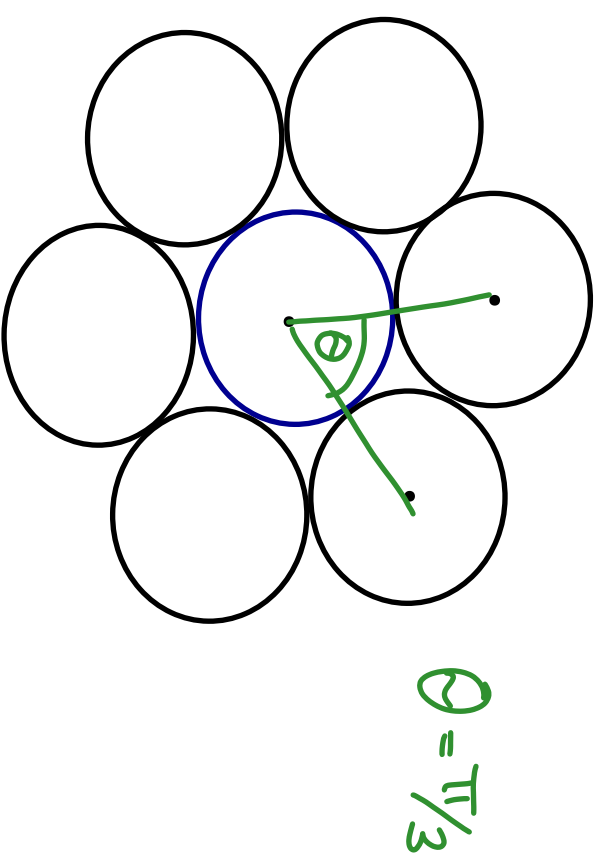
Philippe Moustrou
(UiT-The Arctic University of Norway)

Kissing number of spheres

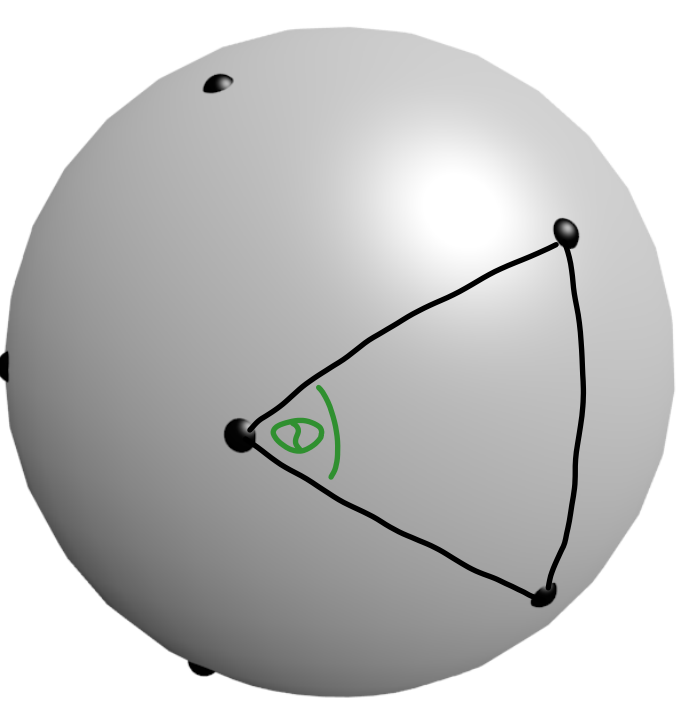
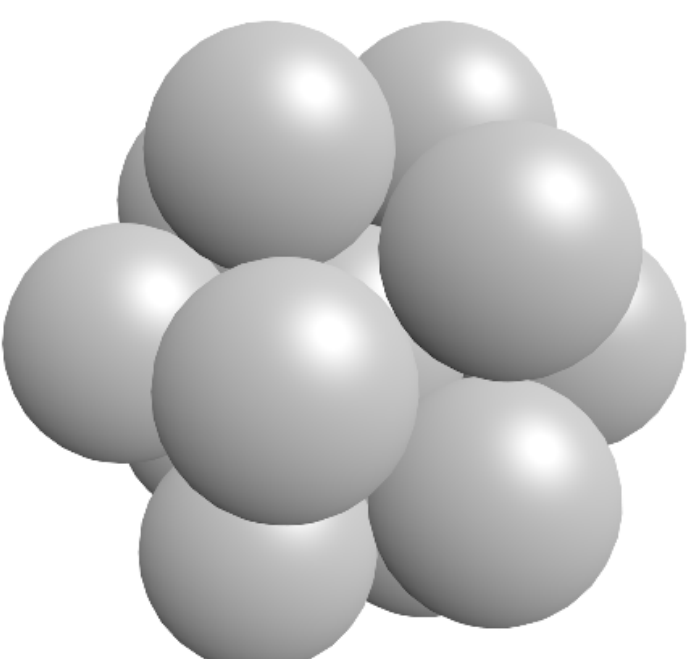
Kissing number of sphere = max number of pairwise non-overlapping unit spheres

that can touch simultaneously a central sphere

Dimension 2: kissing number is 6



Dimension 3: kissing number is 12
(Schütte, van der Waerden, 1953)



Kissing number of hemisphere in dimension 8

Kissing number of hemisphere = max number of pairwise non-overlapping unit spheres

that can touch simultaneously a central hemisphere

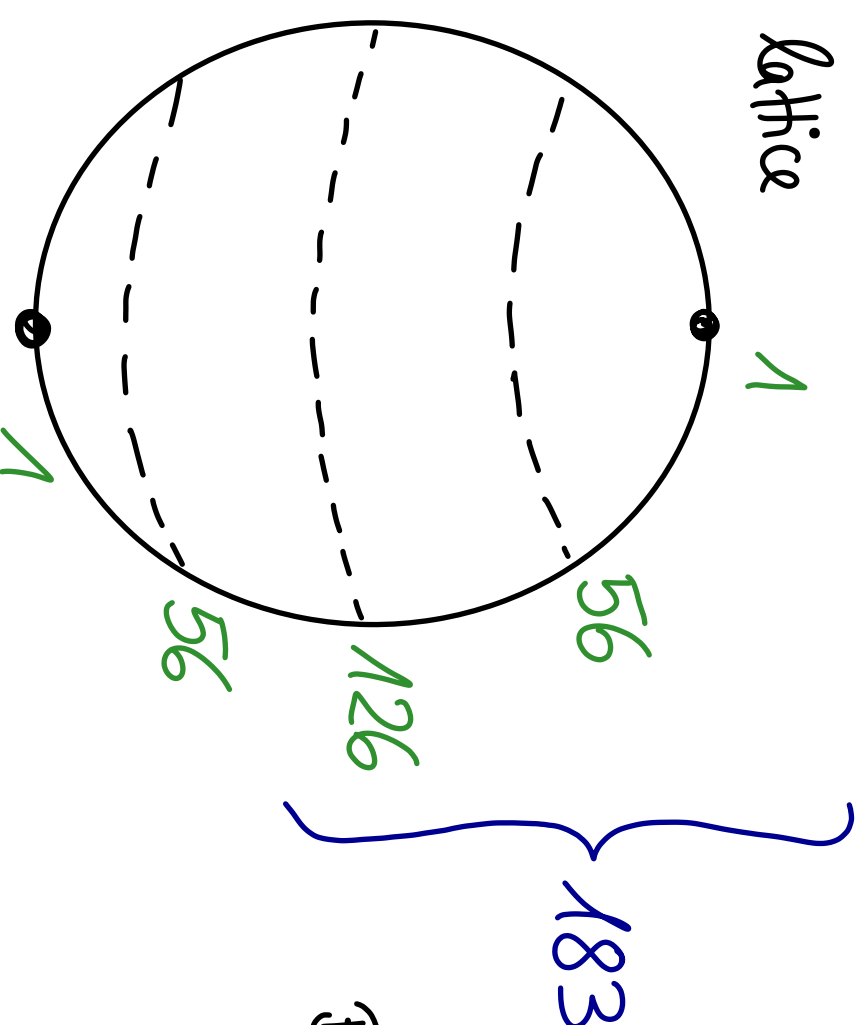
kissing number of sphere in dim 8 = 240

configuration given by E₈ lattice 1

(Odlyzko, Sloane 1979,
Levenshtein 1979)

unique up to isometry

(Bannai, Sloane 1981)



⇒ E₈ gives a kissing
configuration of 183 points

Badziorz, Vallentin (2007):

upper bound 183.012 ⇒ optimum 183

Spherical code

Euclidean space \mathbb{R}^n , inner product $x \cdot y = \sum_{i=1}^n x_i y_i$

$$A(n, \theta) = \max \{ |C| : C \subset S^{n-1} \text{ with } c \cdot c' \leq \cos \theta \text{ for } c, c' \in C, c \neq c' \}$$

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$$\text{let } \text{Cap}(e, \phi) = \{ x \in S^{n-1} : e \cdot x \geq \cos \phi \}$$

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Semidefinite Program

Let

$$\Delta = \{(u, v, t) : \cos \phi \leq u \leq v \leq 1, -1 \leq t \leq \cos \theta, 1 + 2uvt - u^2 - v^2 - t^2 \geq 0\}$$

and

$$\Delta_0 = \{(u, u, 1) : \cos \phi \leq u \leq 1\}$$

Theorem (Bachoc, Vallentin 2007)

$$A(n, \theta, \phi) \leq \min \{1 + M :$$

$F_k \succeq 0$ for all $k=0, \dots, d,$

(i) $\sum_{k=1}^d \langle F_k, \bar{Y}_k^n(u, u, 1) \rangle \leq M$ for all $(u, u, 1) \in \Delta_0,$

(ii) $\sum_{k=1}^d \langle F_k, \bar{Y}_k^n(u, v, t) \rangle \leq -1$ for all $(u, v, t) \in \Delta \}$

Complementary Slackness

Let $C \subset \text{Cap}(e, \phi) = \{x \in S^{n-1} : e \cdot x \geq \cos \phi\}$ with $c \cdot c' \leq \cos \theta$ for $c, c' \in C$, $c \neq c'$

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\nearrow ≤ -1
 \nearrow $\leq M$

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\uparrow $\underbrace{\sum_{(c, c') \in C^2} Y_k^n(e \cdot c, e \cdot c', c \cdot c')}_{\geq 0}$

$$\Rightarrow 0 \leq |C|(-|C| + 1 + M) \Rightarrow |C| \leq 1 + M$$

Rational Solution?

$$\text{SDP: } \min \left\{ \sum_{i=1}^n c_i x_i : \sum_{i=1}^n A_j \cdot x_i = b_j \text{ for } j=1, \dots, m, x_i \geq 0 \text{ for } i=1, \dots, n \right\}$$

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Determine **good** floating point solution:

use high precision solver (SDPA GMP)

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Problem: System very large \Rightarrow use HNF algorithm (Fieker, Hofman, Sircena)

in Julia computational number theory package Hecke

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Add these constraints before rounding $\Rightarrow \tilde{X}_{11}, \dots, \tilde{X}_{nn} \succeq 0$

Rational bases for the kernel

* Use high precision floating point arithmetic to compute N_i containing the kernel vectors of X_i as columns. Let $c_i = \# \text{Columns}(N_i)$, $r_i = \# \text{Rows}(N_i)$

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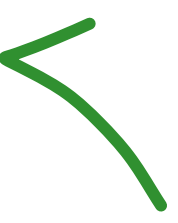
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For each kernel vector v add $X_i v = 0$ to lin. system



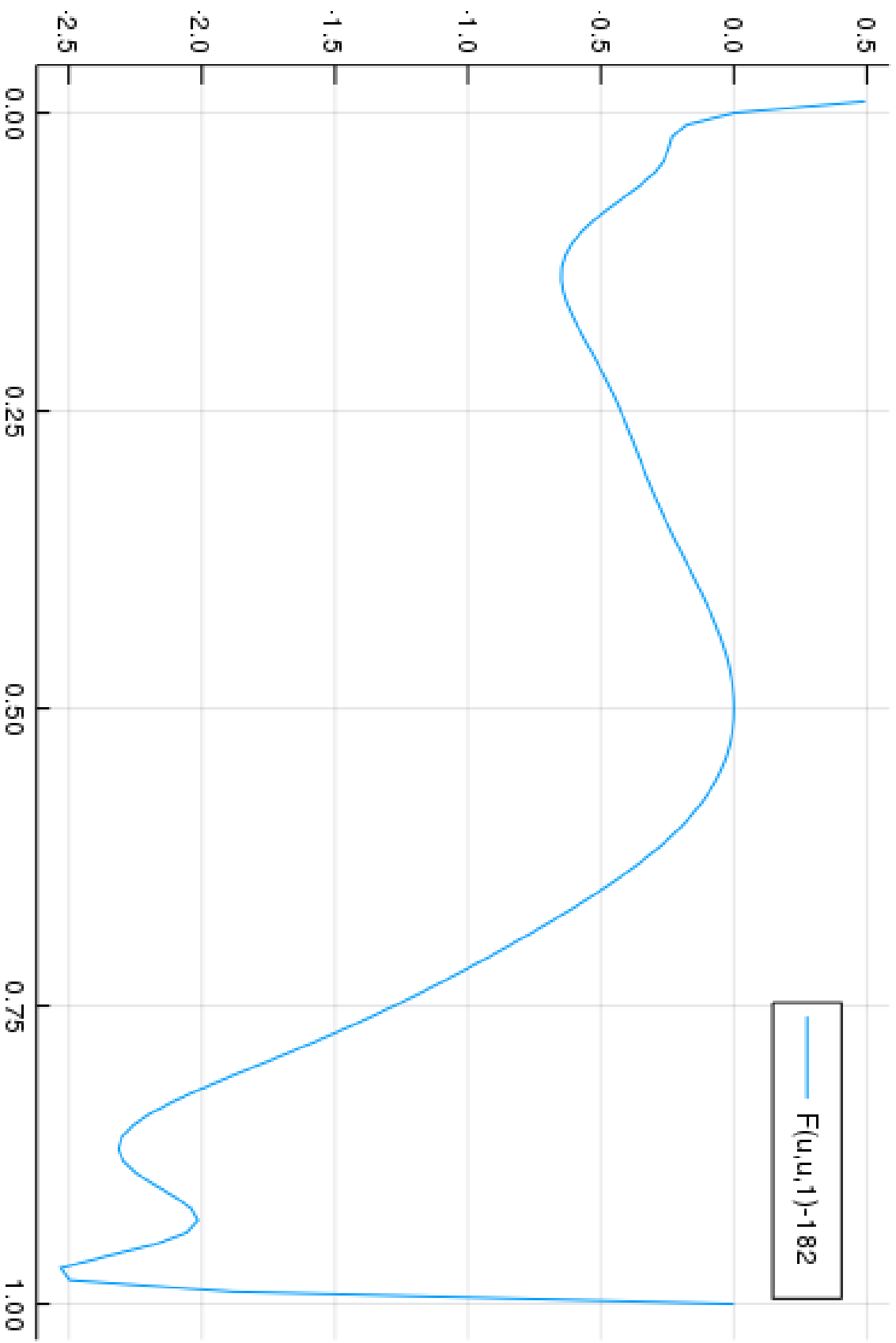
Uniqueness

Claim: For any feasible configuration C with $|C|=183$: $\{c \cdot c' : c, c' \in C, c \neq c'\} = \{-1, -1/2, 0, 1/2\}$.

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determined rational solution $F(u, v, t)$



Complementary Slackness

$$F(e, e, 1) = 182 \text{ for } e \in C$$

$\Rightarrow AC$: $e \cdot c \in \{0, 1/2, 1\}$ for all $c \in C$

Uniqueness

$F(u, u, 1) = 182$ for $u \in \Delta$, if and only if $u \in \{0, \frac{1}{2}, 1\}$.

\Rightarrow for all configurations C with $|C| = 183$: $\{e \cdot c : c \in C\} = \{0, \frac{1}{2}, 1\}$

Complementary Slackness $F(e \cdot c, e \cdot c', c \cdot c') = -1$ for $c, c' \in C, c \neq c'$

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For all $u_0, v_0 \in \{0, 1/2, 1\}$ check zeros of $F(u_0, v_0, t) + 1$ for $(u_0, v_0, t) \in \Delta$.

For any feasible configuration C with $|C| = 183$: $\{c \cdot c' : c, c' \in C, c \neq c'\} = \{-1, -1/2, 0, 1/2\}$.

Uniqueness

Let C be an optimal configuration $\Rightarrow c, c' \in \{0, \pm 1/2, \pm 1\}$ for all $c, c' \in C$
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Rescale all $c \in C$ s.t. $\|c\| = \sqrt{2}$

$$\|c\| = 1$$

for all $c \in C$

L = additive group generated by $C \Rightarrow L$ is a root lattice

$$\Rightarrow L \text{ sum of } A_n, D_n, \text{ or } E_n$$

Only sum with at least 183 minimal vectors is E_8 .

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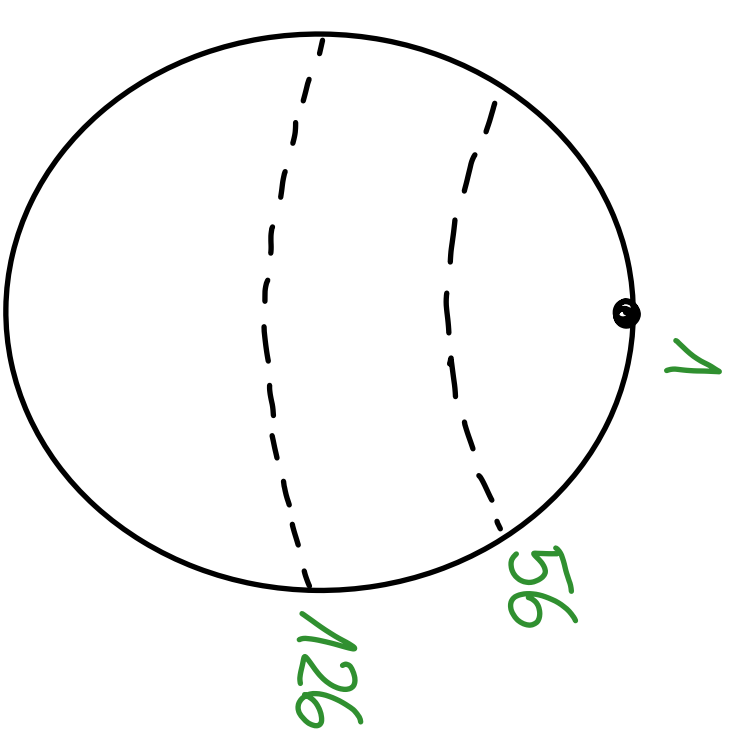
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$183 - 57 = 126$ points on equator

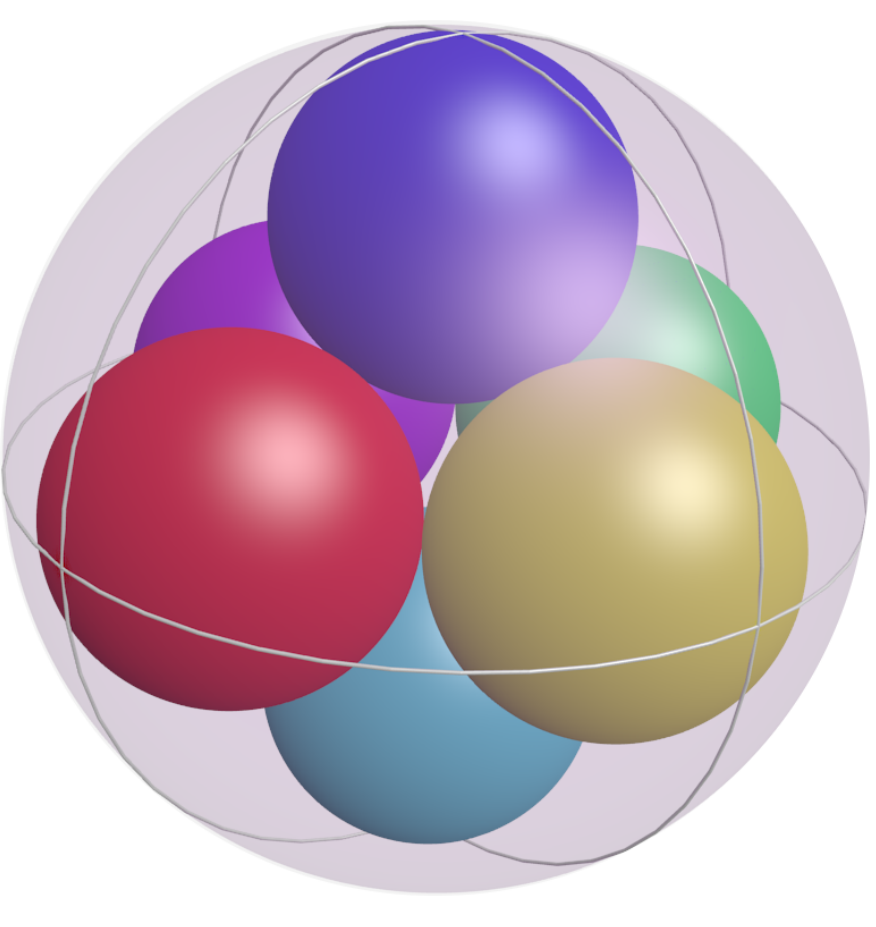
Since for all $c \in C : e \cdot c \in \{0, 1/2, 1\}$ & there exists no $(7, 57, 1/3)$ spherical code



$\Rightarrow C$ has to be the configuration we know!

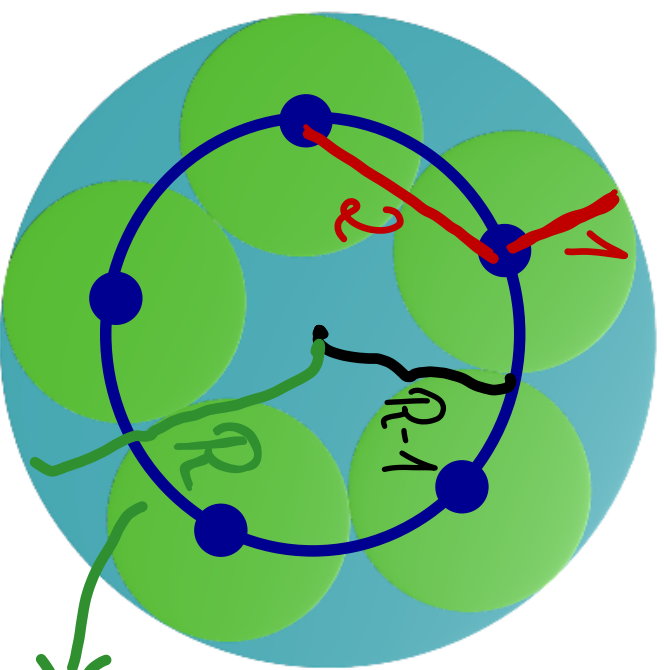
Packing unit spheres in a larger sphere

Packing unit spheres in sphere of radius $R \geq 1$



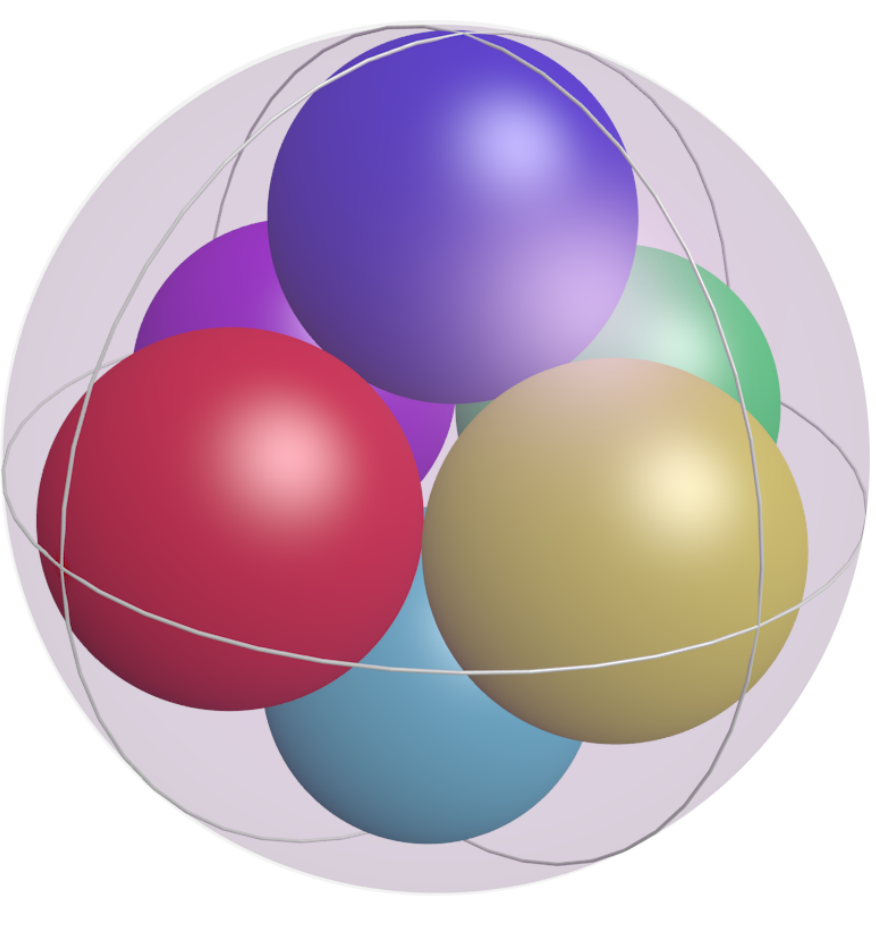
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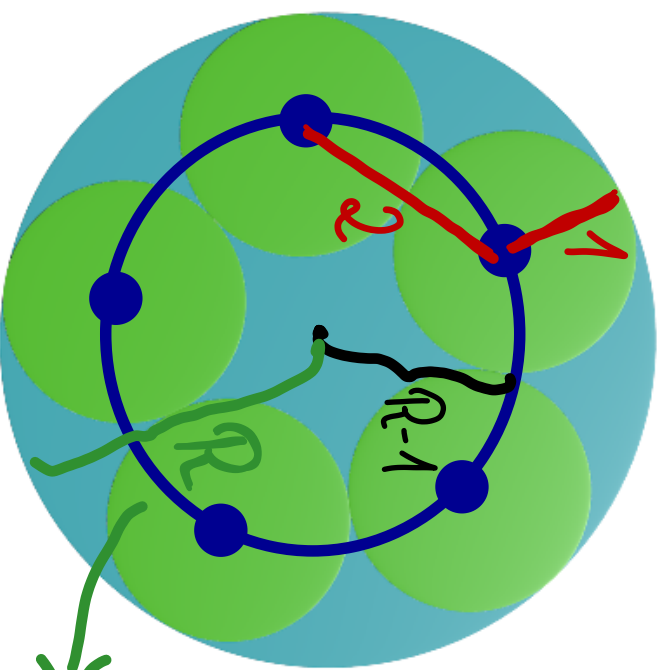
\Rightarrow codes in balls of radius $R-1$ where
minimal distance between points is 2.

$$\Rightarrow R = 1 + \sqrt{2(1 + 1/\sqrt{3})}$$



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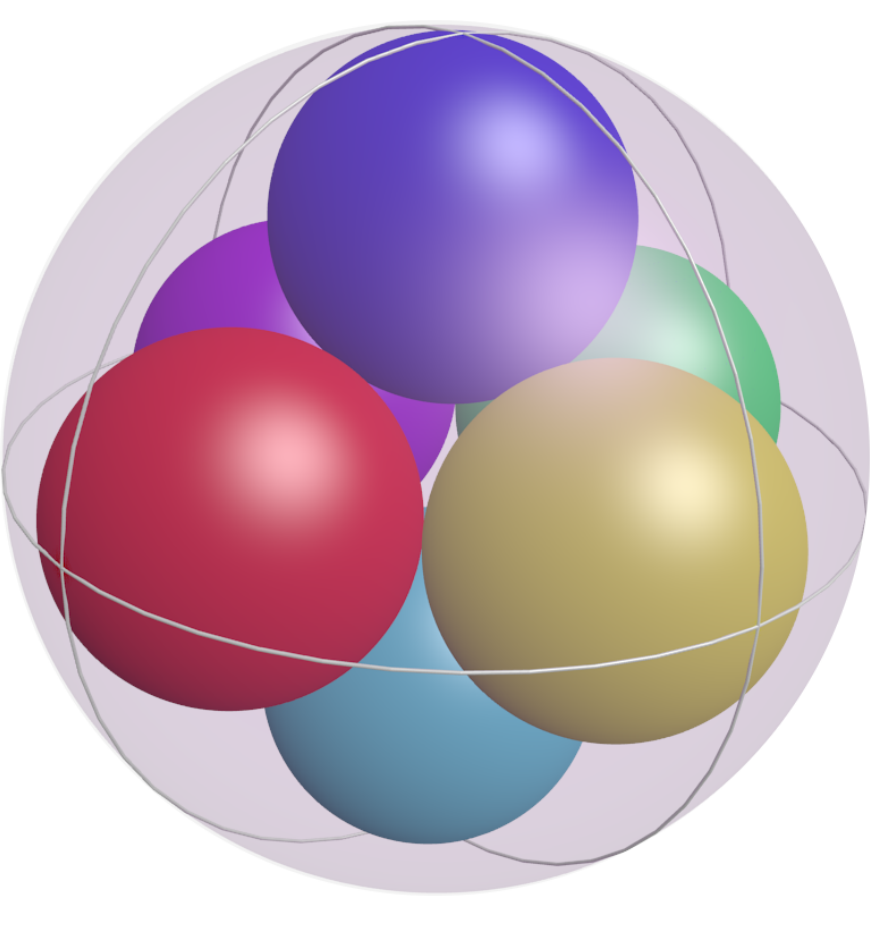
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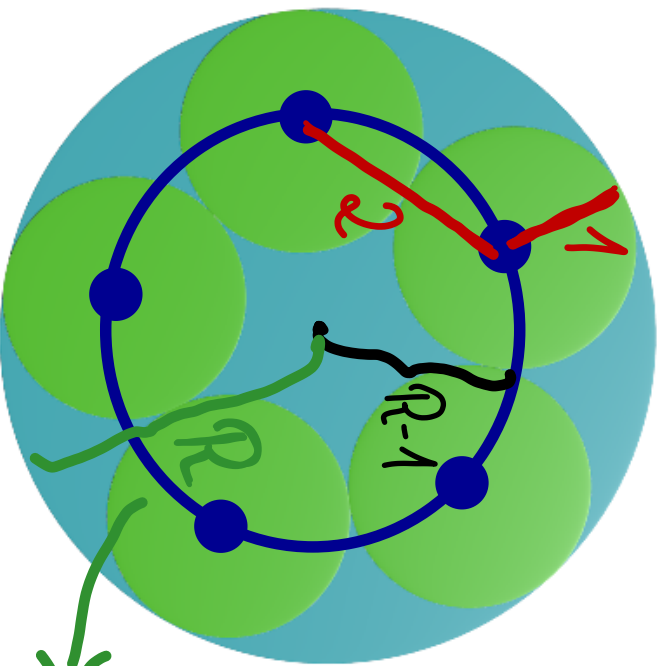
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\Rightarrow upper bound via SDP which is similar to previous SDP



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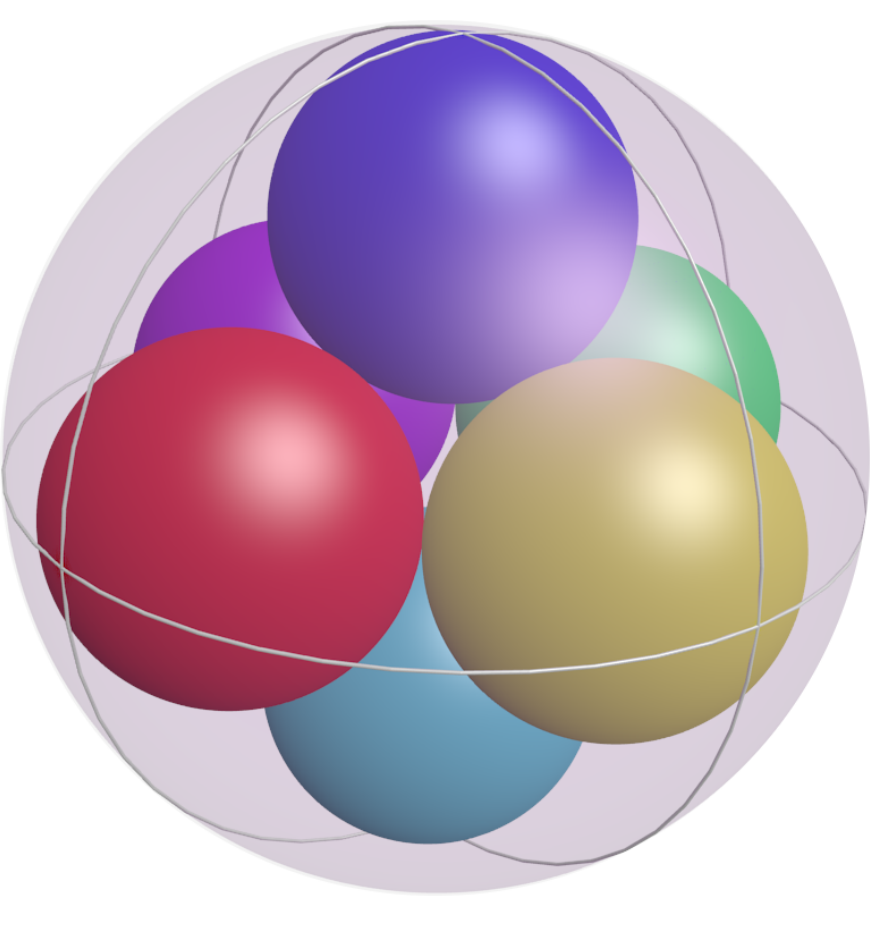


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Problem: $R-1$ often irrational



Quadratic Field

- Approximate solution $X^* = \bigoplus_{i=1}^m \text{vec}(X_i^*)$ for $AX^* = b, X_i^* \succcurlyeq 0$
- Assume there exists a solution vector over $\mathbb{Q}[FS]$

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- Now problem over \mathbb{Q}
 \Rightarrow rounding and kernel detection over \mathbb{Q}

Exact SDP Bounds

- * General **rational** solution for packing
 - * 3 spheres in a sphere with $R = 1 + \frac{\sqrt{2}}{2}$ for all dimensions
 - * $2d$ spheres in a sphere with $R = 1 + \sqrt{2}$ for all dimensions
 - * $d+1$ spheres in a sphere with $R = 1 + \sqrt{2d/(d+1)}$ for all dimensions
- * For $d=2$: **rational** solution for packing 7 spheres in larger spheres
- * Reprove uniqueness of 10 points in S^3 (**rational solution**)
- * Prove uniqueness kissing configuration on hemisphere in dim 8 (**rational solution**)
- * For $d=2$: solution in $\mathbb{Q}[\sqrt{5}]$ for packing 5 spheres in larger spheres

Next goal: Prove uniqueness of 8 points in S^2 (**solution in $\mathbb{Q}[\sqrt{8}]$**)

Thank you!

Thank you!

Summer School in

Optimization, Interpolation & Modular Forms

24-28 Aug 2020 at EPFL, Lausanne

(Organizers: Maryna Viazovska, Matthew de Courcy-Ireland, M.D.)