

Isogenous hyperelliptic and non-hyperelliptic Jacobians with maximal Complex Multiplication

Joint work with
S. Ionica (UPJV), and J. Sijssling (Ulm University).

Outline I

- 1 The main objects.
 - CM fields and their CM types.
 - The Galois group of a CM field.
 - Abelian varieties, and algebraic curves.
 - The Jacobian of an algebraic curve.

- 2 A brief introduction in Complex Multiplication (CM) Theory.
 - The main idea of CM Theory.
 - Principally polarized abelian varieties with CM by \mathbb{Z}_K .
 - The construction of p.p.a.v. with CM by \mathbb{Z}_K .

Outline II

3 The project.

- Motivation
- The goal.
- Main Result 1.
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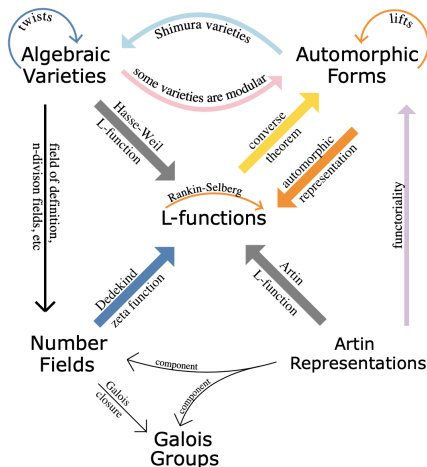
4 The computation of the sets $\mathcal{M}_{\mathbb{Z}_K}$ and $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$.

- The sets $\mathcal{M}_{\mathbb{Z}_K}$ and $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$.
- The Shimura class group \mathcal{C}_K and its reflex type norm subgroup.
- The precomputation step.
- The algorithms.

The L-functions and Modular Forms Database.

The L-functions and Modular Forms Database (LMFDB).

- What is the LMFDB?
- The importance of the LMFDB in Mathematics?
- The objects in today's discussion:
 - Complex Multiplication (CM) fields and their
 - Galois groups.
 - Algebraic curves and their
 - Jacobians.



1. The objects in today's discussion

Complex Multiplication (CM) fields, and their CM types.

- A CM field K is a totally imaginary quadratic extension of a totally real number field K_0 .
- Let L be the Galois closure of K . A CM type Φ on K (with values in L) is a subset $\Phi \subset \text{Hom}(K, L)$ such that

$$\text{Hom}(K, L) = \Phi \amalg \overline{\Phi}.$$

- The reflex field $K^r \subset L$ of (K, Φ) is the fixed field of the group $H = \{\sigma \in \text{Gal}(L|\mathbb{Q}) : \sigma\Phi_L = \Phi_L\}$.
- The reflex CM type Φ^r of K^r is induced by the CM type Φ_L^{-1} on L .

2. The objects in today's discussion

The Galois group of a sextic CM field.

Theorem

Let K be sextic CM field, with Galois closure L . Then $G = \text{Gal}(L|\mathbb{Q})$ is isomorphic to one of the following groups:

- 1 C_6 .
- 2 D_6 .
- 3 $C_2^3 \rtimes C_3$.
- 4 $C_2^3 \rtimes S_3$.

3. The objects in today's discussion

- Our fields K are all algebraically closed and of characteristic zero.
- All our **curves** over a field K are separated and geometrically integral schemes of dimension 1 over K .
- The genus:
 - $g = 1$: Elliptic curves.
 - $g = 2$: Hyperelliptic curves.
 - $g = 3$: **Hyperelliptic curves**, and **quartic plane curves**.
- An **abelian variety** over K is an algebraic group that is geometrically integral and proper over K .

4. The objects in today's discussion

The Jacobian $\text{Jac}(X)$ of a curve X over \mathbb{C} .

- We can compute the Jacobian of X in the following way:
 - Let γ_i be a basis for the homology group $H_1(X, \mathbb{Z}) \cong \mathbb{Z}^{2g}$.
 - Let $\omega_1, \dots, \omega_g$ be a basis of differential forms on X .
 - Compute the vectors $\lambda_i \in \mathbb{C}^g$ for all $i = 1, \dots, 2g$ by

$$(\lambda_i)_j = \int_{\gamma_j} \omega_i.$$

- Then $\Lambda = \langle \lambda_1, \dots, \lambda_{2g} \rangle$ is a lattice in \mathbb{C}^g called the **period lattice** of X .
- Define

$$\text{Jac}(X) = \mathbb{C}^g / \Lambda.$$

1. The main idea of CM Theory.

Motivation: Is there a way to describe a general method for describing all Abelian extensions of a number field?

- The **Kronecker-Weber Theorem**: Any abelian extension $\mathbb{Q} \subset L$ is contained in some cyclotomic fields $\mathbb{Q}(\zeta_n)$ for some n , $\zeta_n = \exp(2\pi i/n)$.
- **Kronecker's Jugendtraum (Hilbert 12)**: Replacing \mathbb{Q} by a different base field K , and ζ_n by some „complex numbers“, is there a statement that is analogous to the Kronecker-Weber Theorem?

2. The main idea of CM Theory.

The answer to Kronecker's Jugendtraum is given by:

- The theory of **Complex Multiplication (CM)** introduced by **Shimura** and **Taniyama** in the 1950's.
- Complete answer to Kronecker's Jugendtraum in the case of CM fields.

3. The main idea of CM Theory.

The genus one case.

Theorem (Main Theorem 1)

Let K be an imaginary quadratic field with ring of integers \mathbb{Z}_K , and let E be an elliptic curve over \mathbb{C} with $\text{End}(E) \cong \mathbb{Z}_K$. Then $j(E)$ is an algebraic integer, and

$$K(j(E))$$

is the Hilbert class field H of K .

Theorem

If H is the Hilbert class field of K , then the Artin map $I_K \rightarrow \text{Gal}(H|K)$ is surjective and induces an isomorphism

$$Cl(K) \xrightarrow{\sim} \text{Gal}(H|K).$$

Principally polarized abelian varieties (p.p.a.v) with CM by \mathbb{Z}_K .

Theorem

Simple principally polarized abelian varieties of dimension three are Jacobian varieties.

Definition

Let K be a sextic CM field. A principally polarized abelian variety A of dimension three has CM by the maximal order \mathbb{Z}_K if $\text{End}(A) \cong \mathbb{Z}_K$.

1. The construction of p.p.a. varieties with CM by \mathbb{Z}_K

The dimension one case:

- An imaginary quadratic field K with ring of integers \mathbb{Z}_K .
- A fractional \mathbb{Z}_K -ideal \mathfrak{a} .

The dimension three case:

- An sextic CM field K with ring of integers \mathbb{Z}_K .
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The dimension one case:

- An imaginary quadratic field K with ring of integers \mathbb{Z}_K .
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- There exists a correspondence between $[\mathfrak{a}] \in Cl(K)$ and lattice $\Lambda \subset \mathbb{C}$ modulo equivalence.

$$\rightsquigarrow E \cong \text{Jac}(E).$$

The dimension three case:

- An sextic CM field K with ring of integers \mathbb{Z}_K .
- A fractional \mathbb{Z}_K -ideal \mathfrak{a} .
- Together with a primitive CM type Φ of K , there exists correspondence between $[\mathfrak{a}] \in Cl(K)$ and lattice $\Lambda = \Phi(\mathfrak{a}) \subset \mathbb{C}^3$ modulo equivalence.

$$\rightsquigarrow A \cong \mathbb{C}^3/\Lambda.$$

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2. The construction of p.p.a. varieties with CM by \mathbb{Z}_K

Principal polarization in the dimension three case.

- Ket $\xi \in K$, such that $-\xi^2$ is totally positive in K_0 , and $\text{im}(\varphi(\xi)) > 0$ for all $\varphi \in \Phi$, and such that $(\xi) = (\mathfrak{a}\bar{\mathfrak{a}}\mathfrak{D}_{K|\mathbb{Q}})^{-1}$.
- Then $(\Phi, \mathfrak{a}, \xi)$ gives rise to a p.p.a.v

$$A(\mathfrak{a}, \xi) \cong (\mathbb{C}^3/\Lambda, E)$$

of dimension three over \mathbb{C} , with

- Principal polarization $E(\Phi(\alpha), \Phi(\beta)) := \text{Tr}_{K|\mathbb{Q}}(\xi\bar{\alpha}\beta)$ for $\alpha, \beta \in K$, and
- Where $A(\mathfrak{a}, \xi)$ has CM by \mathbb{Z}_K .

Motivation.

Motivation:

- In the genus three case there are two types of curves, **hyperelliptic curves**, and **quartic plane curves**.
- By the **André-Oort conjecture** the number of hyperelliptic curves with CM over \mathbb{C} might be finite.

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- Is there any sextic CM field K in the LMFDB with $\mathbb{Q}(i) \not\subset K$, for which there exists a hyperelliptic curve whose Jacobian has primitive CM by \mathbb{Z}_K ?

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- **Cryptographic relevance.** Is there any sextic CM field K in the LMFDB for which there exists a hyperelliptic curve X and a quartic plane curve Y whose Jacobian has primitive CM by \mathbb{Z}_K ?

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- If yes, does there exist an **isogeny** of small degree between the Jacobian of X and Y , where both Jacobians have CM by \mathbb{Z}_K ?

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The goal.

A systematic search in the [LMFDB](#) with the aim to find:

- All sextic complex multiplication (CM) fields K for which (heuristically) there exist both hyperelliptic and non-hyperelliptic curves whose Jacobian has primitive CM by \mathbb{Z}_K .
- All sextic CM fields K for which (heuristically) there exists a hyperelliptic curve whose Jacobian has primitive CM by \mathbb{Z}_K ?

Main Result 1

Main Result 1

Heuristically, there are 14 sextic CM fields K in the LMFDB for which there exist both a hyperelliptic and a non-hyperelliptic curve whose Jacobian has primitive CM by \mathbb{Z}_K . For all of these fields K we have that $\text{Gal}(K|\mathbb{Q}) \simeq C_2^3 \rtimes S_3$.

Why are the fields from Main Result 1 interesting?

Cryptographic relevance:

- Solving the **Discrete Logarithm Problem (DLP)** in Jacobians of hyperelliptic curves of genus 3 in $\tilde{O}(q^{4/3})$ using [GTDD07].
- Solving the DLP in Jacobians of non-hyperelliptic curves of genus 3 $\tilde{O}(q)$ using [Die06].

Main Result 2

Main Result 2

Heuristically, including the fields mentioned in Main Result 1, there are 3,422 CM fields K in the LMFDB for which there exists a hyperelliptic curve whose Jacobian has primitive CM by \mathbb{Z}_K . Of these fields,

- 348 have Galois group isomorphic to C_6 .
- 3,057 have Galois group isomorphic to D_6 .
- 17 have Galois group isomorphic to $C_2^3 \rtimes S_3$.
- We have $\mathbb{Q}(i) \subset K$ for all but 5 of these fields K , of which 2 (resp. 3) have Galois group isomorphic to C_6 (resp. $C_2^3 \rtimes S_3$).

Main Result 2

Why are the fields from Main Result 2 interesting?

- By the [André-Oort conjecture](#) the number of hyperelliptic curves with CM over \mathbb{C} might be finite.
- By [Wen01]: If $\text{Jac}(X)$ is simple of dimension 3 and has CM by \mathbb{Z}_K , where $\mathbb{Q}(i) \subset K$, then X is hyperelliptic.
- [K16] classifies in her PhD thesis all $\mathbb{Q}(i) \subset K$ with $h_K = 1$ where there exists a hyperelliptic curve whose Jacobian has primitive CM by \mathbb{Z}_K .

Main Result 2

The exceptional case where $\mathbb{Q}(i) \not\subset K$ is from interest.

- The two fields with Galois group isomorphic to C_6 were already known by [BILV16].
- The three cases with Galois group isomorphic to $C_2^3 \rtimes S_3$ are completely new.

Main Result 3

Main Result 3

Let K be the CM field defined by the polynomial $t^6 + 10t^4 + 21t^2 + 4$, $d_K = -1 \cdot 2^8 \cdot 359^2$, and let r be a zero of the polynomial $t^4 - 5t^2 - 2t + 1$.

- Consider the *hyperelliptic curve*

$$\begin{aligned}
 X : \quad y^2 = & x^8 + (-28r^3 - 4r^2 + 132r + 84)x^7 + (-600r^3 - 160r^2 + 2920r + 2044)x^6 \\
 & + (-3532r^3 - 940r^2 + 17224r + 11944)x^5 + (9040r^3 + 2890r^2 - 44860r - 31460)x^4 \\
 & + (167536r^3 + 49480r^2 - 824532r - 576212)x^3 \\
 & + (-226976r^3 - 64932r^2 + 1113648r + 776872)x^2 \\
 & + (-244204r^3 - 69572r^2 + 1197716r + 835300)x \\
 & + (319956r^3 + 94725r^2 - 1575062r - 1100801),
 \end{aligned}$$

and

Main Result 3

- The smooth plane quartic curve

$$\begin{aligned}
 Y : & (14106r^3 - 150652r^2 + 185086r + 292255)x^4 \\
 & + (-171112r^3 + 44200r^2 + 916008r + 93360)x^3y \\
 & + (-120788r^3 + 49032r^2 + 382244r + 300708)x^3z \\
 & + (467744r^3 - 209864r^2 - 2160704r + 183416)x^2y^2 \\
 & + (-72248r^3 + 64768r^2 + 347488r - 362984)x^2yz \\
 & + (5720r^3 - 12378r^2 - 15628r + 50692)x^2z^2 \\
 & + (-512608r^3 + 349824r^2 + 2423616r - 580448)xy^3 \\
 & + (202192r^3 - 151024r^2 - 1180320r + 403568)xy^2z \\
 & + (6512r^3 - 11272r^2 + 178120r - 71336)xyz^2 + (-11832r^3 + 12268r^2 - 844r + 1376)xz^3 \\
 & + (263424r^3 - 176880r^2 - 1159232r + 335040)y^4 \\
 & + (-201216r^3 + 100448r^2 + 856096r - 249632)y^3z \\
 & + (62112r^3 + 1984r^2 - 226512r + 71624)y^2z^2 \dots
 \end{aligned}$$

Main Result 3

$$\dots + (-12520r^3 - 13112r^2 + 27736r - 5360)yz^3 + (1526r^3 + 2411r^2 - 658r + 197)z^4 = 0.$$

Then heuristically there exists an isogeny of degree 2 between the Jacobians of X and Y , and both have CM by the maximal order \mathbb{Z}_K .

The sets $\mathcal{M}_{\mathbb{Z}_K}$ and $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$.

We define by $\mathcal{M}_{\mathbb{Z}_K}$ = set of isomorphism classes of p.p.a. threefolds with primitive CM by \mathbb{Z}_K modulo equivalence.

How do we efficiently compute representatives in $\mathcal{M}_{\mathbb{Z}_K}$?

Restrict to:

$$\mathcal{M}_{\mathbb{Z}_K}(\Phi) = \{(A, \Phi) : A \text{ is p.p.a. threefold, } A = A(\Phi, \mathfrak{a}, \xi)\}.$$

$\leadsto \mathcal{M}_{\mathbb{Z}_K}$ is disjoint union of $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$ for all primitive CM type Φ modulo equivalence.

1. The Shimura class group \mathcal{C}_K and its type norm subgroup.

Assume we have determined a triple $(\Phi, \mathfrak{a}, \xi) \in \mathcal{M}_{\mathbb{Z}_K}(\Phi)$.

The Shimura class group \mathcal{C}_K

$$\{(\mathfrak{b}, \beta) : \mathfrak{b} \text{ is fractional } \mathbb{Z}_K\text{-ideal, } \bar{\mathfrak{b}}\mathfrak{b} = \beta\mathbb{Z}_K, \beta \in K_0^* \text{ tot. pos.}\}$$

modulo equivalence.

Theorem

The action of the Shimura class group on the set $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$ given by

$$\mathcal{C}_K \times \mathcal{M}_{\mathbb{Z}_K}(\Phi) \rightarrow \mathcal{M}_{\mathbb{Z}_K}(\Phi), ((\mathfrak{b}, \beta), (\Phi, \mathfrak{a}, \xi)) \mapsto (\Phi, \mathfrak{b}\mathfrak{a}, \beta^{-1}\xi)$$

is free and transitive.

2. The Shimura class group \mathcal{C}_K and its type norm subgroup.

Using the fact that $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$ is a \mathcal{C}_K -torsor we get:

Corollary

Any isogeny between p.p.a. threefolds with primitive CM by \mathbb{Z}_K in $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$ for a fixed Φ is induced by some $(\mathfrak{b}, \beta) \in \mathcal{C}_K$.



3. The Shimura class group \mathcal{C}_K and its type norm subgroup.

To compute \mathcal{C}_K (isogenies in $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$) requires an efficient computation of the group homomorphisms involved in the exact sequence

$$1 \rightarrow \frac{(\mathbb{Z}_{K_0}^*)^+}{N_{K/K_0}(\mathbb{Z}_K^*)} \xrightarrow{u \mapsto (\mathbb{Z}_K, u)} \mathcal{C}_K \xrightarrow{(\mathfrak{b}, \beta) \mapsto \mathfrak{b}} Cl(K) \xrightarrow{N_{K/K_0}} Cl(K_0^+) \rightarrow 1$$

4. The Shimura class group \mathcal{C}_K and its type norm subgroup.

Is there a way to avoid an explicit computation of the Shimura group \mathcal{C}_K ?

Theorem

Let K be a sextic CM field with Galois group isomorphic to C_6 or D_6 . For any equivalence class $(\mathfrak{b}, \beta) \in \mathcal{C}_K$ the equivalence class of (\mathfrak{b}^2, β') is in the image of the map

$$\mathcal{N} : Cl(K^r) \rightarrow \mathcal{C}_K, [\mathfrak{a}] \mapsto (N_{\Phi^r}(\mathfrak{a}), N(\mathfrak{a})),$$

where $\beta' = N(\mathfrak{b})^3$.

The theorem above allow us to proceed without any explicit computation of the reflex type norm N_{Φ^r} .

5. The Shimura class group \mathcal{C}_K and its type norm subgroup.

Can we further restrict to hyperelliptic (non-hyperelliptic) CM points in $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$?

Theorem

The set $\mathcal{M}_K(\Phi)$ is finite and stable under $G = \text{Gal}(\overline{\mathbb{Q}}|K_0^r)$.

Corollary

There is a partition of $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$ into G -orbits, where any G -orbit is induced by $(\mathcal{C}_K/\text{im } \mathcal{N}) \times \mathcal{M}_K(\Phi) \rightarrow \mathcal{M}_K(\Phi)$.

- In the Corollary above we use the explicit Galois action in the First Main Theorem of CM.

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- Any G -orbit corresponds to Galois conjugate hyperelliptic, or non-hyperelliptic CM points in $\mathcal{M}_{\mathbb{Z}_K}(\Phi)$.

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The precomputation step.

Let K be a sextic CM field, and let K_0 be its totally real subfield. Determine:

- 1 $\text{Cl}(K), \mathbb{Z}_K^*, \text{Cl}(K_0), \text{Cl}^+(K_0),$ and $\mathbb{Z}_{K_0}^*$.
- 2 $G_1 = \{[\mathfrak{a}] \in \text{Cl}(K) : \mathfrak{a}\bar{\mathfrak{a}} = \mu\mathbb{Z}_K \text{ for } \mu \in K_0\}$.
- 3 $G_2 = \{[\mathfrak{a}] \in G_1 : \mathfrak{a}\bar{\mathfrak{a}} = \mu\mathbb{Z}_K \text{ for } \mu \in K_0 \text{ totally positive}\}$.
- 4 Let $Q = G_2/eG_2$, where $e = 2$ if $\text{Gal}(K) \in \{C_6, D_6\}$.
- 5 Set of ideals
 - $C = \{\mathfrak{c} \subset \mathbb{Z}_K : \mathfrak{c} \text{ is representative of } [\mathfrak{c}] \text{ in } G_1/G_2\}$, and
 - $B = \{\mathfrak{b} \subset \mathbb{Z}_K : \mathfrak{b} \text{ is representative of } [\mathfrak{b}] \text{ in } Q\}$.
- 6 $U_1 = \{u \in \mathbb{Z}_{K_0}^* : u \text{ is totally positive}\}$.
- 7 $U_2 = \{u \in U_1 : u \in \text{im } N_{K/K_0}\}$.
- 8 Set of units
 - $W = \{w \in \mathbb{Z}_{K_0}^* : w \text{ is representative of } [w] \text{ in } \mathbb{Z}_{K_0}^*/U_1\}$, and
 - $V = \{v \in \mathbb{Z}_{K_0}^* : v \text{ is representative of } [v] \text{ in } U_1/U_2\}$.

The precomputation step.

Some explanations:

- We can compute the groups in step (1) by using class field methods in MAGMA.
- We can determine the subgroup
 - G_1 in Step (2) as the kernel of the homomorphism $\text{Cl}(K) \rightarrow \text{Cl}(K_0)$ given by $[\alpha] \mapsto [\alpha\bar{\alpha}]$, and
 - G_2 as the kernel of a similar homomorphism to $\text{Cl}^+(K_0)$.

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Algorithms.

We use the objects computed in the precomputation step in the following algorithms:

- 1 Algorithm that determines an initial triple $(\Phi, \mathfrak{a}, \xi)$.
- 2 Algorithm that uses (1) to determine all triples $(\Phi, \mathfrak{a}, \xi)$.
- 3 Algorithm that calculates period matrices of all p.p.a.v. found using (2) and automatically sorts them into sets of hyperelliptic and non-hyperelliptic Jacobians.

We used these algorithms to find our main results.

Our code is implemented in MAGMA [BCP97] and available at [DIS21].

Thank you for listening!



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