LFANT seminar — 24 janvier 2023

The particular case of cyclotomic fields when computing unit groups by quantum algorithms

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Plan of the talk

▶ Reducing the unit group computations to the hidden subgroup problem

▶ The continuous hidden subgroup problem

▶ The particular case of cyclotomic fields

Shor's algorithms (1/2)

Hidden subgroup problem (HSP) and its continuous version (CHSP)

• HSP. Assume that $f : \mathbb{Z}^m \to \mathbb{C}^k$ is such that there exists a lattice $L \subset \mathbb{Z}^n$,

$$\forall \ell \in L, \quad f(x+\ell) = f(x).$$

Given an algorithm to compute f, find L.

• CHSP : same definition with $f : \mathbb{R}^m \to \mathbb{C}^k$ and additional conditions on f.

History of HSP in quantum algorithms for number theory

- 1994 Simon : polynomial time quantum algorithm to solve HSP
- 1994 Shor : reduce factoring and DLP in abelian groups to HSP

• . . .

Shor's algorithms (2/2)

Shor's factoring and DLP algorithms

• When factoring N, take a random $a \in (\mathbb{Z}/N)^*$. The period of $\begin{pmatrix} t \\ t \end{pmatrix}$

$$egin{array}{ccc} \mathbb{Z} & o & \mathbb{C} \ i & \mapsto & a^i \end{array}
ight)$$

is the order of a and, with high probability, the order of $(\mathbb{Z}/N)^*$.

- If N = pq, knowing the order (p 1)(q 1) of $(\mathbb{Z}/N)^*$ is equivalent to knowing p and q. For general N,
- Bach gave a probabilitic reduction.
- Assume every element of a group G is represented by an element of \mathbb{C}^k . When computing $\log_g h$, the function $\begin{pmatrix} f : \mathbb{Z}^2 \to \mathbb{C}^k \\ (i,j) \mapsto g^i h^j \end{pmatrix}$ has as period set the lattice generated by (#G, 0), (0, #G) and $(\log_g h, -1)$.

History

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- 1994 Shor: reduce factoring and DLP in abelian groups to HSP
- 2002 Hallgren: reduce \mathcal{O}_{K}^{*} when K is quadratic real to CHSP with n = 2
- 2005 Schmidt and Vollmer || Hallgren: reduce CI(K) in fixed degree to HSP
- 2014 Eisenträger, Hallgren, Kitaev, Song: reduce $\mathcal{O}_{\mathcal{K}}^*$ to CHSP
- 2014 Campbel, Groves, Shepherd (Soliloquy): non peer-reviewed claim to reduce Cl(K) of arbitrary degree to HSP
- 2014 Bernstein: blog post stating that the Soliloquy talk was false
- 2015 Biasse and Song: proof that the reduction of CI(K) to HSP is false
- 2016 Biasse and Song: reduction of CI(K) to CHSP
- 2019 den Boer, Ducas, Fehr: complete proof that CHSP is quantum polynomial time and precise analysis of qubits requirements

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den Boer et al. proposed a list of open questions

Plan of the talk

▶ Reducing the unit group computations to the hidden subgroup problem

▶ The continuous hidden subgroup problem

▶ The particular case of cyclotomic fields

Some definitions on lattices

Definitions

- SVP(L): the problem of finding the shortest vector;
- λ₁ is the lenght of the shortest vector b₁, for k ≥ 1, λ_{k+1} is the lenght of the shortest vector b_k not spanned by (b₁,..., b_k);
- CVP(x, L): the problem of finding the closes vector;
- BDD $(x, L, \delta\lambda_1)$: it is CVP with the promise to be at distance $\delta\lambda_1$ from the lattice.

Some properties of lattices

Complexity

- $\bullet~\mathrm{SVP}$ and CVP are believed exponential time on classical and quantum computers
- Babai (1985) solves BDD in polynomial time when δ is very small, but in general it is exponential time.

Canonical basis

- There is no canonical basis of a lattice, so one cannot apply period-finding algorithms if the image is a lattice.
- Lemma: When dim L = 2, let v₁ and v₂ be such that ||v_i|| = λ_i. Then the datum (v₁, v₂) is a canonical representation and can be computed in polynomial time using Gauss' algorithm.
- in a general lattice L of dimension n, the vectors of lenght $\lambda_1, \ldots, \lambda_n$ are unique up to sign. This suggests a unique representation of lattices in \mathbb{C}^{n^2} but it requires to solve SVP.
- Hence Cl(K) and O^{*}_K are easier in fixed degree n because one has canonical representations of lattices of ℝⁿ.

Prerequisites about lattices

Definition and properties of the dual of a lattice

- $L^* := \{ y \in \mathbb{R}^m \mid \forall x \in L, x \cdot y \in \mathbb{Z}^n \};$
- $\lambda_1^* := \lambda_1(L^*)$
- if L is generated by the rows of a matrix B then L* is generated by the rows of (B^t)⁻¹; in particular det L* = 1/ det L;
- if $M \subset L$ then $L^* \subset M^*$ and $[L : M] = [M^* : L^*]$.

Modeling the quantum part of the algorithm

Definition (Dual lattice sampler)

Let $c : L^* \to \mathbb{C}$ be a map such that $\sum_{\ell^* \in L^*} |c_{\ell^*}|^2 = 1$. Let ϵ and δ be two parameters. An algorithm is a dual lattice sampler of parameters $1/4 > \eta > 0$ and $1/2 > \delta > 0$ if it outputs a vector $x \in \mathbb{R}^m$ such that, for any finite set $S \subset L^*$, one has

$$\operatorname{Prob}\left(y \in \bigcup_{\ell^* \in S} B(\ell^*, \delta \lambda_1^*)\right) \geq \sum_{\ell^* \in S} |c_{\ell^*}|^2 - \eta.$$

It means morally that the probability of drawing a vector close to $I^* \in L^*$ is approximately $|c_{\ell^*}|^2$: these quantities act as a probability distribution. We add also two technical conditions for the map c:

1. **Uniformity property** : there exists $\varepsilon \le 1/4$ such that, for every strict sublattice $N \subsetneq L^*$:

$$\sum_{\ell^*\in N} |c_{\ell^*}|^2 < \frac{1}{2} + \varepsilon.$$

2. Concentration property : There exists R = R(m) and 0 such that :

$$\sum_{|\ell^*| > R} |c_{\ell^*}|^2 < p.$$

Preparation: random vectors to generate a lattice

Examples

- Bost and Mestre (1988) : complex AGM to compute periods in genus 1 and 2
- Hafner and Buchmann (1989) : classical class group
- den Boer et al. (2019) : CHSP

Lemma (dBDF 2019)

We note $k = \alpha(m + m \log_2 R + \log_2(\det L))$, for an absolute constant $\alpha > 1$. Let $\widetilde{y_1}, \widetilde{y_2}, \ldots, \widetilde{y_k}$ be the first k vectors output by a dual basis sampler. For $i = \overline{1, k}$ put $y_i = \text{CVP}(\widetilde{y_i}, L)$. Then for any value of the absolute constant $\alpha > 2$ we have

$$\operatorname{Prob}(y_1,\ldots,y_k \text{ generate } L) \geq 1-c^m,$$

where c < 1 is an explicitly computable constant.

The CHSP algorithm

Input τ and approximations at one bit of precision of R, λ_1^* and det L; Output a basis of L with absolute error τ 1: $k \leftarrow m \log_2 R - \log_2(\det L)$; $\delta = \frac{(\lambda_1^*)^2 \det L^*}{2^{O(mk)} ||B||_{\infty}^{m+1}} \tau$ 2: for i = 1, 2, ..., k do \triangleright Step 1 - Quantum 3: $\tilde{y}_i \leftarrow$ output(dual lattice sampler(δ)) 4: pass 5: end for 6: Use Buchmann-Pohst algorithm on $(\tilde{y}_1, ..., \tilde{y}_k)$ to find a basis $(y_1, ..., y_m)$ of L^* ; call B the square matrix they form \triangleright Step 2 - Classical 7: Output $(B^{-1})^t$ (here B is the matrix a basis of L^*). \triangleright Step 3 - Classical

Buchmann-Pohst: extract a basis from the approximation of a generating set (1/3)

The case dim L = 1: given $k\alpha$ and $\ell \alpha$ with absolute error δ , find $\alpha \in \mathbb{R}$

• Theorem (Dirichlet): For any $\beta \in \mathbb{R} \setminus \mathbb{Q}$ there exists a sequence $(p_n, q_n)_n$ such that $q_n \to \infty$ and

$$\left|\beta-\frac{p_n}{q_n}\right|<\frac{1}{q_n^2}$$

Let p/q be a Dirichlet approximation of kα/lα. Put δ_k := |kα - kα| and similarly for δ_ℓ. If max(δ_k, δ_ℓ) < 1/α(p+q) and q ≥ ℓ then

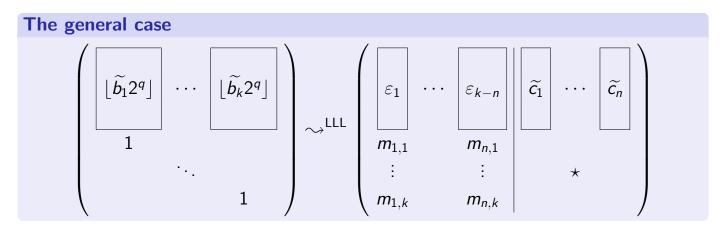
$$p/q = k/\ell.$$

Indeed,

$$\left| rac{klpha+\delta_k}{\elllpha+\delta_\ell} - rac{p}{q}
ight| < rac{1}{q^2}$$

implies that $\frac{1}{q^2} > \frac{kq-p\ell}{q\ell}$, which is possible only if $kq - p\ell = 0$.

Buchmann-Pohst: extract a basis from the approximation of a generating set (2/3)



Theorem (Buchmann-Pohst)

If $q \ge q(L)$, an explicite expression depending only on L, the LLL-reduction of the above matrix is such that

- $\varepsilon_1, \ldots, \varepsilon_{k-n}$ have norm bounded by an explicit constant;
- $m_1,\ldots,m_{k-n}\in L^*$
- $2^{-q}(\widetilde{c_1}, \ldots, \widetilde{c_n})$ is a an approximation of a basis of L.

Buchmann-Pohst: extract a basis from the approximation of a generating set (3/3)

The particular case of $\mathbb{Q}(\zeta_n)$ when $4 \mid \varphi(n)$

- $\mathcal{O}_{\mathcal{K}}^*$ is a $\mathbb{Z}[\operatorname{Gal}(\mathcal{K})]$ -module, in particular a $\mathbb{Z}[i]$ -module
- Poulalion: Buchmann-Pohst extends to $\mathbb{Z}[i]$

LLL over \mathbb{Z} vs. **LLL over** $\mathbb{Z}[i]$

- Fieker-Stehlé (2010): to reduce a Z[i]-module one can forget the Z[i]-structure, Z-reduce and retrieve the Z[i]-structure;
- Kim-Lee (2017): LLL over Z[ζ_k] works in practice even when Z[ζ_k] is not Euclidean;
- Camus (2018): implementation of LLL over Z[i] faster than the best implementation of LLL over Z.

Space complexity of CHSP (1/2)

Definition (Continuous Hidden Subgroup Problem - CHSP)

Let $f : \mathbb{R}^m \to S$, where $S = \bigoplus_{i \in \{0,1\}^n} \mathbb{C} |i\rangle$ is the space of states of *n* qubits. The function *f* is **an** (a, r, ε) -**oracle hiding the full-rank lattice** *L* if and only if it verifies the following technical conditions:

- 1. L is the period of f, i.e. $\forall x \forall \ell \in L, f(x + \ell) = f(x)$. (periodicity)
- 2. The function *f* is *a*-Lipschitz. (Lipschitz condition)
- 3. $\forall x, y \in \mathbb{R}^m$ such that $dist(x y, L) \ge r$, we have $|\langle f(x) | f(y) \rangle| \le \varepsilon$. (strong periodicity)

Given an efficient quantum algorithm to compute f, compute the hidden lattice of periods L.

Representing a lattice in \mathbb{C}^k with $k < \infty$ (EHKS 2014)

• (straddle encoding): $|\operatorname{str}_{\nu}(x)\rangle = \cos(\frac{\pi}{2}t)|k\rangle + \sin(\frac{\pi}{2}t)|k+1\rangle$, where $k = \lfloor x/\nu \rfloor$, $t = x/\nu - k$

•
$$|\operatorname{str}_{n,\nu}(x_1,\ldots,x_n)\rangle = \otimes_{i=1}^n |\operatorname{str}_{\nu}(x_i)\rangle$$

• $f(L) = \gamma^{-1/2} \sum_{x \in L} e^{-\pi ||x||^2/s} |\operatorname{str}_{n,\nu}(x)\rangle$ with $\gamma = \sum_{x \in L} e^{-2\pi ||x||^2/s^2}$.

Space complexity of CHSP (2/2)

Theorem (dBDF 2019)

CHSP can be solved with a quantum algorithm with the following complexities:

- time: $O(km^2Q^2)$
- space: mQ + n with

$$Q = O(mk) + O(\log \frac{a}{\lambda_1^*}) + O(\log \frac{1}{\lambda_1^*\tau}),$$

$$k = O(m \log(\sqrt{m}a(\det L)^{1/m})).$$

k is the expectancy of the number of random vectors to generate L^* .

Reduction of the \mathcal{O}_{K}^{*} computation to CHSP (1/2) Lemma (The example of totally real fields)

Let $K \subset \mathbb{R}$ be an embedding of K. Then the function (

$$egin{array}{rcl} f: \ \mathbb{R} & o & \mathcal{P}(\mathbb{R}) \ x & \mapsto & e^x \mathcal{O}_K \end{array} \end{pmatrix}$$
 has the

period $\log \mathcal{O}_{K}^{*}$.

Proof.

$$e^{x}\mathcal{O}_{K} = e^{y}\mathcal{O}_{K} \iff e^{x-y}\mathcal{O}_{K} = \mathcal{O}_{K}$$

 $\Leftrightarrow e^{x-y} \in K \text{ and } \langle e^{x-y}
angle = \mathcal{O}_{K}$
 $\Leftrightarrow \pm (x-y) \in \log(\mathcal{O}_{K}^{*})$

Reduction of the \mathcal{O}_{K}^{*} computation to CHSP (1/2)

Lemma (The example of totally real fields)

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Modify *f* to be used in CHSP

- $\log \mathcal{O}_{K}^{*}$ is not discret (not a lattice). Let $K \subset \mathbb{R}$ be an embedding and set $\sigma_{1} = \operatorname{id}$. Let $\sigma_{1}, \sigma_{r_{1}}$ be field automorphisms of \mathbb{R} which extend the real embeddings of K and let $\tau_{r_{1}+i}, \overline{\tau_{r_{1}+1}}, \ldots$ be some complex embeddings of \mathbb{R} which extend the complex embeddings of K. Put $\sigma_{r_{1}+i} = \sqrt{|\tau_{r_{1}+i}|}$ and $r = r_{1} + r_{1} 1$. $\begin{pmatrix} f : \mathbb{R}^{r_{1}+r_{2}} & \rightarrow & \mathcal{P}(\mathbb{R})^{r+1} \\ (x_{1}, x_{2}, \ldots, x_{r+1}) & \mapsto & (e^{x_{1}}\sigma_{1}(\mathcal{O}_{K}), e^{x_{2}}\sigma_{2}(\mathcal{O}_{K}), \ldots, e^{x_{r+1}}\sigma_{r+1}(\mathcal{O}_{K})) \end{pmatrix}$ has the period $\log \mathcal{O}_{K}^{*}$.
- encode any lattice of \mathbb{R}^{r+1} , e.g. $e^{x}\mathcal{O}_{K}$, by $\mathbb{R}^{q} \subset \mathbb{C}^{q}$ for a large enough q.

One actually finds $\{u\overline{u} \mid u \in \mathcal{O}_K^*\}$

- if only wants the regulator or $\mathcal{O}_{\mathcal{K}}^*/(\mathcal{O}_{\mathcal{K}}^*)^\ell$ for a large prime ℓ then we are done.
- For all $\mathcal{O}_{\mathcal{K}}^*$ use *n* embeddings. For the roots of unity one factors the discriminant.^{*a*}

^aEisenträger et al. prove that if F has domain $G \times \mathbb{Z}^k \times \mathbb{R}^m$ and is continuous on \mathbb{R}^m one can construct a continuous function whose period is the same. (HSP reduces to CHSP)

Razvan Barbulescu — The particular case of cyclotomic fields when computing unit groups by quantum algorithms

Space complexity of the algorithm for \mathcal{O}_K^*

EHKS 2014 long version (2019)

- m = O(n) and n = O(m) where $n = \deg K$
- Theorem 5.5 and D.4: f is an $(a = \sqrt{\pi/4}c(\sqrt{m}/\lambda_1)^n + 1, R = O(m^2 + m \log D), \varepsilon = 3/4)$ -oracle for CHSP
- Theorem B.3: $\lambda_1 \geq 1/2$
- Equation (D.11): $\lambda_1^* = \Omega(1/\sqrt{m})$

Comparison between HSP and CHSP when computing \mathcal{O}_{K}^{*}

Notations: $m = O(n) = O(\deg K)$ and $D = \operatorname{disc} K$.

• When inserted in the dBDF space complexity we get

space =
$$O(m^4 \log m + m^3 \log D + m \log \tau)$$
.

• For comparison, the space of HSP is dominated by that of HNF: Micianceio and Warinschi 2001 : $space(HNF) = O(m^2 \log D)$.

Question: can we find particular cases without Buchmann-Pohst ?

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Cyclotomic units

Definition

In $K = \mathbb{Q}(\zeta)$ with ζ an *m*th root of unity, the group of cyclotomic units is the subgroup C of \mathcal{O}_{K}^{*} generated by the roots of unity and $\zeta_{m}^{i} - 1$ with $i \in \mathbb{N}$.

Properties whem $m = p^e$ (see e.g. Cramer, Ducas, Peikert, Regev 2015)

• (Whashington) C is generated by $\pm \zeta$ and

$$\beta_j := \frac{\zeta^j - 1}{\zeta - 1},$$

with $j \in (\mathbb{Z}/m)^* / \{\pm 1\}, j \neq 1$.

- (Whashington) $[\operatorname{Log} \mathcal{O}_{K}^{*} : \operatorname{Log} C] = h^{+}(m) := h(\mathbb{Q}(\zeta + 1/\zeta)).$
- $(\text{CDPR15})^a$ We set $b_j = \text{Log}\beta_j$ where $\text{Log} = (\log \sigma_1, \log \sigma_2, \dots, \log \sigma_n)$. Let $\{b_j^*\}_j$ be the dual basis of $\{b_j\}$. Then $\left\|b_j^*\right\|^2 = \Omega(m^{-1}\log^3 m)$. and in particular

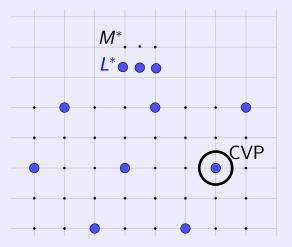
$$1/\lambda_1(M^*) = O(m/\log^3 m).$$

 $M := \operatorname{Log} \mathcal{C}$ is a sublatice of $L := \operatorname{Log} \mathcal{O}_K^*$

^aCramer, Ducas, Peikert, Regev (2015) only treat the case $m = p^e$ but the general can be treated as in Lemma 3.5 of Cramer ducas Wesolowski (2021).

The checker-corrector (1/2)

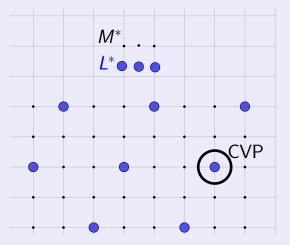
When CVP(M^*) brings points in L^* $M \subset L$ so $L^* \subset M^*$



If $d(x, L^*) < \frac{1}{2}\lambda_1(M^*)$ then $CVP(x, M^*)$ returns a point of L^* .

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If $d(x, L^*) < \frac{1}{2}\lambda_1(M^*)$ then $CVP(x, M^*)$ returns a point of L^* .

Do the quantum part in low percision and correct it before Buchmann-Pohst.

The checker-corrector (2/2)

Lemma

Let $M \subset L$ be a lattice generated by B_M (a matrix for L is not necessarily known). If $\tilde{y} \in \mathbb{R}^n$ is such that $d(\tilde{y}, L^*) < \frac{1}{2}\lambda_1(M^*)$ then one can solve $\text{CVP}(\tilde{y}, L^*)$ in polynomial time.

Proof.

The following algorithm has a polynomial time complexity:

- 1. compute $\widetilde{z} := B_M^t \widetilde{y}$;
- 2. round $z = (z_1, \ldots, z_n) := (\lfloor \widetilde{z_1} \rceil, \ldots, \lfloor \widetilde{z_n} \rceil);^a$
- 3. return $y := (B_M^t)^{-1} z$.

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B. return
$$y := (B_M^t)^{-1}z$$
.
 $\|y - \widetilde{y}\| = \|(B_M^t)^{-1}(z - \widetilde{z})\|$
 $\leq \|(B_M^t)^{-1}\|\|z - \widetilde{z}\| = \|B_{M^*}\|\|z - \widetilde{z}\|$
 $\leq \lambda_1(M^*) \cdot \frac{1}{2}.$

Let $y_L = \operatorname{CVP}(\widetilde{y}, L^*)$. Then

$$\|y_L - y\| \leq \|y_L - \widetilde{y}\| + \|y - \widetilde{y}\| < \frac{1}{2}\lambda_1(M^*) + \frac{1}{2}\lambda_1(M^*) = \lambda_1(M^*).$$

Since $y_L \in L^* \subset M^*$, $y_L - y \in M^*$ so $y = y_L$.

alf $d(\widetilde{y}, L^*) < \frac{1}{4}\lambda_1(M^*)$ and $\|z - \widetilde{z}\| > 1/4$ we can discard \widetilde{y} . The algoritms is a "checker".

Solving CHSP in the cyclotomic case

Input τ and approximations at one bit of precision of R, λ_1^* and det L; Output a basis of L with absolute error τ 1: $k \leftarrow m \log_2 R - \log_2(\det L))$; $\delta = \frac{(\lambda_1^*)^2 \det L^*}{2^{O(mk)} ||B||_{\infty}^{m+1}} \tau$ $\delta = \frac{1}{2}\lambda_1(M^*)$ 2: for i = 1, 2, ..., k do \triangleright Step 1 - Quantum 3: $\tilde{y_i} \leftarrow$ output(dual lattice sampler(δ)) 4: pass correct $(\tilde{y_1}, ..., \tilde{y_k})$ from error $\frac{1}{2}\lambda_1(M^*)$ to error $\frac{(\lambda_1^*)^2 \det L^*}{2^{O(mk)} ||B||_{\infty}^{m+1}} \tau$ 5: end for 6: Use Buchmann-Pohst algorithm on $(\tilde{y_1}, ..., \tilde{y_k})$ to find a basis $(y_1, ..., y_m)$ of L^* ; call B the square matrix they form \triangleright Step 2 - Classical 7: Output $(B^{-1})^t$ (here B is the matrix a basis of L^*). \triangleright Step 3 - Classical

Space complexity of the quantum step

• without the corrector: From slide "Space complexity":

$$\operatorname{space} - m \log \tau = O(m^4 \log m + m^3 \log D) = O(m^4 \log m) = \widetilde{O}(m^4)$$

because
$$D = \operatorname{disc}(\mathbb{Q}(\zeta_m)) = O(m^m).$$

• with the corrector:

$$\operatorname{space} - m \log \tau = O(m(\log \delta)) = O(m \log \lambda_1(M^*)) = O(m^2/\log^3 m) = \widetilde{O}(m^2).$$

A different point of view

Input τ and approximations at one bit of precision of *R*, λ_1^* and det *L*; **Output** a basis of *L* with absolute error τ

- 1: $k \leftarrow m \log_2 R \log_2(\det L)); \ \delta = \frac{(\lambda_1^*)^2 \det L^*}{2^{O(mk)} \|B\|_{\infty}^{m+1}} \tau$
- 2: for i = 1, 2, ..., k do

▷ Step 1 - Quantum

- 3: $\widetilde{y}_i \leftarrow \text{output}(\text{dual lattice sampler}(\delta))$
- 4: pass correct $(\tilde{y_1}, \ldots, \tilde{y_k})$ with an error small enough to obtain (y_1, \ldots, y_n) with integer coordinates in a basis of M^* .
- 5: end for
- 6: Use Buchmann-Pohst algorithm on (ŷ₁,..., ŷ_k) to find a basis (y₁,..., y_m) of L*;
 Compute a Hermite normal form (HNF) to obtain the exact value of [L : M]. ▷
 Step 2 Classical
- 7: Output $(B^{-1})^t \in \frac{1}{[L:M]} \operatorname{Mat}_m(\mathbb{Z})$, where $B \in \operatorname{Mat}_m(\mathbb{Z})$ is the matrix of (y_1, \ldots, y_n) written in a basis of M^* . \triangleright Step 3 - Classical

Instead of a complexity analysis

The time complexity of HNF is heuristic $O(m^4)$ so the decrease of precision is $\tilde{O}(m^4)$. Hence HNF on cyclotomic fields is faster than CHSP on arbitrary fields.

Conclusion

Reduction of number theory problems to (C)HSP

- factoring and DLP in abelian groups: HSP
- $\mathcal{O}_{\mathcal{K}}^*$ and $\operatorname{Cl}(\mathcal{K})$ of fixed degree: HSP
- \mathcal{O}_{K}^{*} and $\operatorname{Cl}(K)$ of arbitrary degree: CHSP
- \mathcal{O}_{K}^{*} of cyclotomic fields: at least as fast as HSP